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GUGGENHEIM STRATEGIC OPPORTUNITIES FUND

Form 3

April 03, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person <u>*</u> Bloch Adam | | | 2. Date of Event Requiring Statement (Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol GUGGENHEIM STRATEGIC OPPORTUNITIES FUND [GOF] | | | | |
|---|---------|-----------------|--|---|--|---------------------------|--|--|
| (Last) | (First) | (Middle) | 04/03/2014 | 4. Relationship of Reporting Person(s) to Issuer | | | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| 2455 CORPOI DRIVE | RATE W | EST | | (Check all applicable) | | | Thed(Montal Day) Teal) | |
| (Street) LISLE, IL 60532 | | | | Director 10% Ow Officer X Other (give title below) (specify below) portfolio manager | | r | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting PersonForm filed by More than One | |
| (6) | (0) | | | | | | Reporting Person | |
| (City) | (State) | (Zip) | Table I - N | Non-Derivat | ive Securiti | es Be | neficially Owned | |
| 1.Title of Security (Instr. 4) | y | | 2. Amount o Beneficially (Instr. 4) | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nat Owne (Instr. | * | |
| Common Stoc | k | | 475 | | D | Â | | |
| Reminder: Report | _ | nte line for ea | ch class of securities benefic | ially S | EC 1473 (7-02 |) | | |
| | inform | ation conta | pond to the collection of ained in this form are not and unless the form displ | | | | | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|--|---|---|---|
| | | Title | Derivative | security. | |

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Date Expiration Amount or Security Direct (D)
Exercisable Date Number of or Indirect
Shares (I)
(Instr. 5)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bloch Adam

2455 CORPORATE WEST DRIVE portfolio manager LISLE, ILÂ 60532

Signatures

/s/ Adam Bloch by Mark E. Mathiasen pursuant to Power of Atty 04/03/2014

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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