

Singleton Jake  
 Form 3  
 November 08, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL  
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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |  |  |  |
|---|---------|--|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement   | 3. Issuer Name and Ticker or Trading Symbol          |  |
| Singleton Jake                            |         | (Month/Day/Year)   | JOINT Corp [JYNT]                                    |  |
| (Last)                                    | (First) | (Middle)   | 11/06/2018   |  |
| 16767 N. PERIMETER DRIVE, SUITE 240       |         | 4. Relationship of Reporting Person(s) to Issuer   |  |  |
| (Street)                                  |         | (Check all applicable)   |  |  |
| SCOTTSDALE, AZ 85260                      |         | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below)<br>Chief Financial Officer |  |  |
| (City)                                    | (State) | (Zip)  | 5. If Amendment, Date Original Filed(Month/Day/Year) |  |
|   |         |  |  | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   |         |  |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |  |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 15,923 <sup>(1)</sup>                                 | D  | ^   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|--|--|---|--|--|---|

## Edgar Filing: Singleton Jake - Form 3

|                             | Date Exercisable | Expiration Date |              | Amount or Number of Shares |         | or Indirect (I) (Instr. 5) |   |
|-----------------------------|------------------|-----------------|--------------|----------------------------|---------|----------------------------|---|
| Stock Option (right to buy) | Â (2)            | 03/14/2026      | Common stock | 10,000                     | \$ 4.1  | D                          | Â |
| Stock Option (right to buy) | Â (3)            | 08/09/2026      | Common stock | 20,000                     | \$ 2.24 | D                          | Â |
| Stock Option (right to buy) | Â (4)            | 05/09/2027      | Common stock | 10,473                     | \$ 3.88 | D                          | Â |
| Stock Option (right to buy) | Â (5)            | 11/10/2027      | Common stock | 10,000                     | \$ 5.51 | D                          | Â |
| Stock Option (right to buy) | Â (6)            | 08/07/2028      | Common stock | 3,242                      | \$ 8.25 | D                          | Â |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                           |       |
|---|---------------|-----------|---------------------------|-------|
|   | Director      | 10% Owner | Officer                   | Other |
| Singleton Jake<br>16767 N. PERIMETER DRIVE, SUITE 240<br>SCOTTSDALE, AZ 85260 | Â             | Â         | Â Chief Financial Officer | Â     |

## Signatures

/s/Robin C. Friedman,  
Attorney-in-fact

11/08/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 11,455 of these shares are unvested restricted shares, 1000 of which will vest on 7/15/19, 2,613 of which will vest on 8/07/19, and 2,614 of which will vest on each of 8/07/20, 8/07/21 and 8/07/22.
- (2) 5,000 of these options are fully vested, and 2,500 will vest on each of 3/14/19 and 3/14/20.
- (3) 10,000 of these options are fully vested, and 5,000 will vest on each of 8/09/19 and 8/09/20.
- (4) All of these options are fully vested.
- (5) 2,500 options will vest on each of 11/10/18, 11/10/19, 11/10/20 and 11/10/21.
- (6) 811 of these options will vest on each of 8/07/19 and 8/07/20, and 810 of these options will vest on each of 8/07/21 and 8/07/22.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.