Edgar Filing: Royal Ronald - Form 4

| Royal Ronald Form 4 | | | | | | | | | |
|--|-------------------|--|---|------------------------------|--|--|---|-------------------------|-----------|
| April 02, 2019 | 1 | | | | | | | | PPROVAL |
| | UNITED | STATES | | RITIES A ashington | | | E COMMISSIO | N OMB Number: | 3235-0287 |
| Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | Expires: | January 31, 2005 | |
| subject to Section 16. Form 4 or | | | | | | Estimated burden hou | Estimated average burden hours per response 0.5 | | |
| Form 5 obligations may continue. See Instruction 1(b). | Section 17(| a) of the 1 | Public U | Jtility Hol | lding Coi | | nge Act of 1934, of 1935 or Secti 940 | | |
| (Print or Type Respo | onses) | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Royal Ronald | | | 2. Issuer Name and Ticker or Trading Symbol | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | GRAN TIERRA ENERGY INC. [GTE] | | | | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) | | | X Director Officer (giv below) | | % Owner her (specify | |
| C/O GRAN TIE INC., 900, 520 | | | 04/01/2 | 2019 | | | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| CALGARY, AC |) T2P 0R3 | | | | | | Person | More than One K | eporung |
| (City) | (State) | (Zip) | Tab | ole I - Non- | Derivative | Securities A | Acquired, Disposed | of, or Beneficia | lly Owned |
| 1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deeme Execution I any (Month/Day/Year) | | Date, ifTransactionAcquired (A) or CodeCodeDisposed of (D)ay/Year)(Instr. 8)(Instr. 3, 4 and 5) | | (A) or of (D) 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | (A) or | Transaction(s) (Instr. 3 and 4) | | |
| | | | | Code V | Amount | (D) Price | · · · · · | | |
| Reminder: Report o | n a separate line | for each cl | ass of sec | urities bene | • | • | or indirectly. spond to the colle | otion of | SEC 1474 |
| | | | | | inforr requi | nation con red to resp ays a curre | tained in this forn ond unless the fo ntly valid OMB co | n are not rm | (9-02) |
| | Tab | | | | | sposed of, or convertible | Beneficially Owner securities) | d | |

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number of | 6. Date Exercisable and | 7. Title and Amount of |
|-------------|------------|---------------------|--------------------|-----------|--------------|-------------------------|------------------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orDerivative | Expiration Date | Underlying Securities |

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Month/Day/Year) | | (Instr. 3 and 4) | |
|--------------------------------------|---|------------|-------------------------|--------------------|--|---------------------|--------------------|------------------|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Deferred Stock Units | <u>(1)</u> | 04/01/2019 | | А | 17,002 | (2) | (2) | Common Stock | 17,002 |
| Stock Option (Right to Buy) | \$ 2.35 | 04/01/2019 | | А | 5,473 | 04/01/2019 | 04/01/2024 | Common Stock | 5,473 |

Reporting Owners

| Relationships | | | | | | |
|---------------|--------------|---------------------------------------|---|--|--|--|
| Director | 10% Owner | Officer | Other | | | |
| Х | | | | | | |
| | | | | | | |
| 04/02/2 | 019 | | | | | |
| Date | | | | | | |
| | X 04/02/2 | Director 10% Owner X 04/02/2019 | Director 10% Owner Officer X 04/02/2019 | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred stock units convert into common stock on a one-for-one basis.
- (2) Each deferred stock unit represents a contingent right to receive one share of Gran Tierra Energy Inc. common stock. The deferred stock units vest in full at such time as the reporting person ceases to be a member of the Board of Directors of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.