## Edgar Filing: AMERICAN POWER GROUP Corp - Form 4

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AMERICAN Form 4 July 16, 201	N POWER GROU	JP Corp									
FORM									OMB A	PROVAL	
	CIVILD		SECURITIES AND EXCHANGE COMN Washington, D.C. 20549					OMB Number:	3235-0287		
Check th if no long subject to Section 1 Form 4 c Form 5 obligatio may cont	ger 5 STATEN 16. 5 Filed pur 5 Section 17(	Section 1 Public U	<b>F CHANGES IN BENEFICIAL OWNERSHIP OF</b> <b>SECURITIES</b> Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section						Expires: January 31, 2005 Estimated average burden hours per response 0.5		
<i>See</i> Instruction 1(b). 30(h) of the Investment Company Act of 1940											
(Print or Type l	Responses)										
FALIKS AVIEL Symbol				RICAN POWER GROUP Corp				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				e of Earliest Transaction h/Day/Year) D/2013				_X_Director10% Owner Officer (give titleOther (specify below) below)			
				Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
NEW YORK, NY 10022 Form filed by One Reporting Person Form filed by More than One Reporting Person Person											
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	3. 4. Securities Acquired (A Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			(D)	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	07/10/2013			Code V $J(\underline{1})$	Amount 24,902	(D) A	Price \$ 0.6559	(Instr. 3 and 4) 100,505	I	See Footnote	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
FALIKS AVIEL C/O SPRING MOUNTAIN 65 EAST 55TH ST., 33RD NEW YORK, NY 10022	X							
Signatures								
/s/ Aviel Faliks	07/11/2013							
<u>**</u> Signature of Reporting Person	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

SMC Employees Partnership ("SMC EP") received 24,902 shares of the Issuer's common stock as a payment-in-kind dividend on 65.33 shares of the Issuer's 10% Convertible Preferred Stock owned at the time of the dividend payment date. Mr. Faliks is a partner in SMC EP

(1) and pursuant to Rule 16a-1 of the Securities Exchange Act of 1934, as amended, he may be deemed to be the beneficial owner of any securities reported herein that are beneficially owned by SMC EP. Mr. Faliks disclaims beneficial ownership with respect to any shares of common stock except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.