Evanson Brynn Form 4 March 21, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

0.5

Expires: January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

Form 4 or
Form 5
obligations
may continue.
See Instruction

Find pursuant to Section 16(a) of the Securities Exchange Act of 1934,
of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person <u>\*</u> Evanson Brynn 2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

suci

X\_ Officer (give title

(Last) (First)

J C PENNEY CO INC [JCP]

3. Date of Earliest Transaction

\_\_\_\_ Director

\_\_\_\_ 10% Owner Other (specify

C/O J. C. PENNEY COMPANY,

(Month/Day/Year)

03/19/2018

below) EVP, HR

(Check all applicable)

INC., 6501 LEGACY DRIVE
(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

below)

PLANO, TX 75024

(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock of 50 cents Par Value	03/19/2018		F	14,033 (1)	D	\$ 3.09	279,007	D		
Common Stock of 50 cents Par Value	03/19/2018		F	5,485 (2)	D	\$ 3.09	273,522	D		
Common Stock of 50 cents Par Value	03/19/2018		F	3,448 (2)	D	\$ 3.09	270,074	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	4	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	
Derivative		Conversion	(Month/Day/Year)	Execution Date, if	Transaction		Number Expiration Date		ate	Amou	ınt of	Derivative	
	Security	or Exercise		any	Code	(	of	(Month/Day/	Year)	Under	rlying	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	8) 1	Derivative	•		Secur	ities	(Instr. 5)	
		Derivative Security				Securities Acquired				(Instr. 3 and 4)			
		_				(	(A) or						
						]	Disposed						
						(	of (D)						
						(	(Instr. 3,						
						4	4, and 5)						
											Amount		
								Date	Expiration	m: d	or		
								Exercisable Date	•	Title	Number		
					G 1		(A) (B)				of		
					Code	V (	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Evanson Brynn C/O J. C. PENNEY COMPANY, INC. 6501 LEGACY DRIVE PLANO, TX 75024

EVP, HR

#### **Signatures**

\*\*\* /s/ David A. Shipley, attorney in fact

03/21/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares withheld to satisfy tax withholding obligation upon vesting of performance-based restricted stock units granted under (1) the Company's 2014 Long-Term Incentive Plan as required by the Issuer. These shares were not sold by the reporting person in the open market.
- Represents shares withheld to satisfy tax withholding obligation upon vesting of time-based restricted stock units granted under the (2) Company's 2014 Long-Term Incentive Plan as required by the Issuer. These shares were not sold by the reporting person in the open market.

Reporting Owners 2

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

SEC 1474

(9-02)

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#### **Remarks:**

\*\*\* Under continuing POA as filed with the S.E.C.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.