

BALCHEM CORP

Form 3

March 02, 2017

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Kuehner John Edward

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

02/21/2017

3. Issuer Name **and** Ticker or Trading Symbol  
BALCHEM CORP [BCPC]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☐ Officer ☐ Other

(give title below) (specify below)

Vice President - Operations

C/O BALCHEM  
CORPORATION, 52  
SUNRISE PARK ROAD

(Street)

NEW HAMPTON, NY 10958

(City)

(State)

(Zip)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Common Stock <sup>(1)</sup>

8,739

D

A

Common Stock <sup>(2)</sup>

1,343

D

A

Common Stock <sup>(3)</sup>

2,241

D

A

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: BALCHEM CORP - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	12/10/2009 <sup>(4)</sup>	12/10/2018	Common Stock	11,000	\$ 17.2	D	Â
Employee Stock Option (right to buy)	12/08/2010 <sup>(4)</sup>	12/08/2019	Common Stock	18,000	\$ 21.39	D	Â
Employee Stock Option (right to buy)	12/06/2011 <sup>(4)</sup>	12/06/2020	Common Stock	13,900	\$ 32.21	D	Â
Employee Stock Option (right to buy)	02/28/2013 <sup>(4)</sup>	02/28/2022	Common Stock	11,284	\$ 29.06	D	Â
Employee Stock Option (right to buy)	02/19/2014 <sup>(4)</sup>	02/19/2023	Common Stock	9,197	\$ 38.1	D	Â
Employee Stock Option (right to buy)	02/26/2015 <sup>(4)</sup>	02/26/2024	Common Stock	4,236	\$ 50.32	D	Â
Employee Stock Option (right to buy)	02/19/2016 <sup>(4)</sup>	02/19/2025	Common Stock	7,120	\$ 58.52	D	Â
Employee Stock Option (right to buy)	02/23/2016	02/23/2026	Common Stock	2,300	\$ 60.85	D	Â
Employee Stock Option (right to buy)	02/23/2017 <sup>(4)</sup>	02/23/2026	Common Stock	15,710	\$ 60.85	D	Â
Employee Stock Option (right to buy)	02/20/2018 <sup>(4)</sup>	02/20/2027	Common Stock	16,680	\$ 85.4	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kuehner John Edward C/O BALCHEM CORPORATION 52 SUNRISE PARK ROAD NEW HAMPTON, NY 10958	Â	Â	Â Vice President - Operations	Â

## Signatures

/s/ John Edward Kuehner, by Attorney in Fact, Matthew Houston

03/02/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Ownership consists of 3,867 shares held in Reporting Person's Company 401(k)/profit sharing plan account, and 4,872 shares held directly.

Ownership of restricted stock vests in Reporting Person 4 years following the grant date and is further subject to restrictions on transfer in accordance with the provisions of a Restricted Stock Grant Agreement between the Issuer and the Reporting Person, dated February 26, 2014.

(2) Ownership of restricted stock vests in Reporting Person 4 years following the grant date and is further subject to restrictions on transfer in accordance with the provisions of a Restricted Stock Grant Agreement between the Issuer and the Reporting Person, dated February 19, 2015.

(3) Options vest 20% year 1; 40% year 2; and 40% year 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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