# Edgar Filing: ALIGN TECHNOLOGY INC - Form 144

# ALIGN TECHNOLOGY INC

Form 144 October 30, 2015

October 30, 2015							
UNITED STATES					OMB APPROVAL		
SECURITIES AND EXCHANGE COMMISSION					OMB Number:	3235-0101	
Washington, D.C. 20549					Expires:	May 31, 2017	
					Estimated average burden		
	FORM 144					hours per 1.00 response	
NOTICE O	F PROPOSED SALI	E OF SEC	URITIES			SEC USE ONLY	
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933  DOCUMENT SEQUENCE NO.							
						CUSIP N	IUMBER
ATTENTION: Transmit for filing order with a broker to execute sale	_		-	_	ing an		
1 (a) NAME OF ISSUER (Please t	ype or print)	(b) IRS	` '	) S.E.C. l	FILE NO		ORK ATION
Align Tech		94-320	67295 00	0032259			
1 (d) ADDRESS STREET OF ISSUER		CITY	ST	ГАТЕ	ZIP CODE	(e) TELE NO	EPHONE
2560 ORCHA	ARD PKWY	SAN J	OSE C	Α	95131	4087381	500
2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD	(b) RELATIONSHI TO ISSUER		DRESS ST	REET	CITY	STATE	ZIP CODE
EMORY WRIGHT	AFFILIATE	2560 (	ORCHARD	PKWY	SAN JOSE	CA	95131
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.							
3 (a) (b)	SEC USE (c	e)	(d)	(e)	(f)	()	g)
Title of the	N	lumber of Shares	Aggregate	Numbe Shares		oximate	Name of Each
Class of Name and Address o Each Broker Through		or Other Units	Market	or Othe Units	er Date	of Sale	Securities

# Edgar Filing: ALIGN TECHNOLOGY INC - Form 144

### Whom the

Securities To Be Sold	Securities are to be Offered or Each Market Maker	File Number	To Be Sold	Value	Outstanding	(See instr. 3(f))	Exchange
	who is Acquiring the Securities		(See instr. 3(c))	(See instr. 3(d))	(See instr. 3(e))	(MO. DAY YR.)	(See instr. 3(g))
COMMON STOCK	Deutsche Bank Securities Inc. 101 CALIFORNIA ST., 24TH FL SAN FRANCISCO, CA 94111		25,272	1,643,594	80,080,000	10/30/2015	NASDAQ

### **INSTRUCTIONS:**

- 1. (a) Name of issuer 3.
  - (b) Issuer's I.R.S. Identification Number
  - (c) Issuer's S.E.C. file number, if any
  - (d) Issuer's address, including zip code
  - (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including zip code

- 3. (a) Title of the class of securities to be sold
  - (b) Name and address of each broker through whom the securities are intended to be sold
  - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
  - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice
  - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
  - (f) Approximate date on which the securities are to be sold
  - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

# Edgar Filing: ALIGN TECHNOLOGY INC - Form 144

## TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
COMMON	02/18/2013	RSU	ALIGN TECHNOLOGY INC	2725	02/18/2013	NA
COMMON	02/18/2013	RSU	ALIGN TECHNOLOGY	6,132	02/18/2013	NA
COMMON	02/19/2013	RSU	ALIGN TECH	2,226	02/19/2013	NA
COMMON	02/20/2013	RSU	ALIGN TECH	3,949	02/20/2013	NA
COMMON	02/18/2014	RSU	ALIGN TECH	8,535	02/18/2014	NA
COMMON	02/19/2014	RSU	ALIGN TECH	1,705	02/19/2014	NA

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

## TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

			Amount of	
		Date of	Securities	
Name and Address of Seller	Title of Securities Sold	Sale	Sold	<b>Gross Proceeds</b>

NA

# **EXPLANATION OF RESPONSES:**

# **REMARKS:**

### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

October 30, 2015 DATE OF NOTICE

ATTENTION: Intentional

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION. IF **RELYING ON RULE 10B5-1** 

SEC 1147 (02-08)

misstatements or omission of facts constitute

Federal Criminal Violations (See 18 U.S.C. 1001)

### ATTENTION:

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ EMORY WRIGHT (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.