#### Edgar Filing: Interactive Brokers Group, Inc. - Form 4

Interactive Brokers Group, Inc. Form 4 September 28, 2015

September 2	28, 2015										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB	2235-0287		
Check th	nis box		vv as	snington,	D.C. 20	549			Number:	January 31,	
if no longer				ANGES IN BENEFICIAL OWNERSHIP O SECURITIES					Expires: Estimated a burden hou response	2005 average rs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
Frank Thomas AJ Symbo			Symbol					5. Relationship of Reporting Person(s) to Issuer			
			Interactive Brokers Group, Inc. [IBKR]					(Check all applicable)			
				of Earliest Transaction Day/Year) 2015				Director 10% Owner X_ Officer (give title Other (specify below) below) Chief Information Officer			
						1					
	(Street)			ndment, Da hth/Day/Year	-	1		6. Individual or Jo Applicable Line) _X_ Form filed by C	One Reporting Pe	erson	
GREENWI	CH, CT 06830							Form filed by M Person	lore than One Re	eporting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution I any (Month/Day)			Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				d of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)			
Class A Common Stock	09/24/2015			S	6,512 (1)	D	\$ 39.19 (4)	223,946 <u>(2)</u>	Ι	By IBG Holdings LLC	
Class A Common Stock	09/25/2015			S	6,187 (1)	D	\$ 40.43 (5)	217,759 <u>(2)</u>	Ι	By IBG Holdings LLC	
Class A											

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D (3)

309,161

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address			Relationships			
r	Director	10% Owner	Officer	Other		
Frank Thomas AJ ONE PICKWICK PLAZA GREENWICH, CT 06830			Chief Information Officer			

## Signatures

/s/ Raymond Bussiere as authorized signatory for Thomas AJ Frank

\*\*Signature of Reporting Person

09/28/2015 Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by IBG Holdings LLC, in which the reporting person has a pecuniary interest through his membership interest in IBG Holdings LLC that went into effect July 24, 2015.
- (2) Represents number of securities owned by IBG Holdings LLC in which the Reporting Person has a pecuniary interest through his membership interest in IBG Holdings LLC.

These shares of Class A Common Stock are owned directly by the reporting person and represent the aggregate number of shares of (3) restricted stock from awards granted under the 2007 Stock Incentive Plan since its inception, less vested shares that were withheld for tax purposes, or sold previously.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$38.92 to \$39.57, inclusive. The reporting person undertakes to provide Interactive Brokers Group, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold

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at each price within the ranges set forth in footnotes (4) - (5) to this Form 4.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from 39.94 to 40.85, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.