Edgar Filing: INSTEEL INDUSTRIES INC - Form 4

INSTEEL IN Form 4 August 24, 20	DUSTRIES INC	Ţ	·									
										OME	APPROVAL	
FORM	UNITED S	TATES				ND EXC D.C. 205		NGE (COMMISSION	N OMB Number		
Check this if no longe	r										January 31, 2005	
subject to Section 16 Form 4 or Form 5	SIAIEMI.	STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES								Estimate	ed average nours per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type Re	esponses)											
1. Name and Ad WOLTZ H C	erson <u>*</u>	2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer				
(Last)	iddle)	INSTEEL INDUSTRIES INC [IIIN] 3. Date of Earliest Transaction					11111	(Check all applicable)				
1373 BOGG	(Month/Day/Year) 08/21/2015						X Director 10% Owner X Officer (give title Other (specify below) Chairman, President and CEO					
(Street) 4. If Amen Filed(Mont						e Original			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
MOUNT AII	RY, NC 27030								Form filed by Person	More than One	e Reporting	
(City)	(State) (Z	Zip)	Table	I - Nor	1-De	rivative S	ecuri	ties Aco	quired, Disposed	of, or Benefi	cially Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, i any (Month/Day/Year)				Date, if TransactionAcquired (A) or Code Disposed of (D) ay/Year) (Instr. 8) (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	Amount	or (D)	Price	(Instr. 3 and 4)	(Instr. 4)		
Common Stock	08/21/2015			F		4,508	D	\$ 18	563,627	D		
Common Stock (Restricted Stock Units)									48,150	D		
Common Stock									177,822	I	Co-trustee of trusts for the benefit of children of H. O. Woltz III	

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								and Ec M Wo		
Common Stock						81,222	Ι	co-trus Woltz Found		
Common Stock						130,452	Ι	Co-tru of trus created estate Howar	ts l by of	
								Woltz	Jr.	
Reminder: R	eport on a sepa	arate line for each clas	ss of securities benefi							
				informa require	ation conta ed to respo /s a currer			SEC 147 (9-02		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	TransactionNumber Expiration Date Code of (Month/Day/Year)			(Instr. 3 and 4) Set		8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 18.05					<u>(1)</u>	08/17/2025	Common Stock	22,971	
Stock Option (Right to Buy)	\$ 21.96					<u>(1)</u>	02/17/2025	Common Stock	19,355	
Common Stock (Right to Buy)	\$ 20.5					<u>(1)</u>	08/12/2024	Common Stock	21,216	
	\$ 19.08					(1)	02/12/2024		21,645	

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Common Stock (Right to Buy)				Common Stock	
Stock Option (Right to Buy)	\$ 10.23	<u>(1)</u>	08/21/2022	Common Stock	21,978
Stock Option (Right to Buy)	\$ 13.06	<u>(1)</u>	02/21/2022	Common Stock	16,501
Stock Option (Right to Buy)	\$ 10.72	<u>(1)</u>	08/08/2021	Common Stock	10,309
Stock Option (Right to Buy)	\$ 12.43	<u>(1)</u>	02/08/2021	Common Stock	8,532
Stock Option (Right to Buy)	\$ 17.22	<u>(1)</u>	08/12/2023	Common Stock	21,583
Stock Option (Right to Buy)	\$ 16.45	<u>(1)</u>	02/12/2023	Common Stock	20,921
Stock Option (Right to Buy)	\$ 16.69	<u>(1)</u>	08/19/2018	Common Stock	15,957
Stock Option (Right to Buy)	\$ 17.11	<u>(1)</u>	02/13/2017	Common Stock	14,395
Stock Option (Right to Buy)	\$ 20.27	<u>(1)</u>	08/13/2017	Common Stock	11,878
Stock Option (Right to Buy)	\$ 20.26	<u>(1)</u>	08/14/2016	Common Stock	6,369
	\$ 15.64	(1)	02/14/2016		9,144

Stock Option (Right to Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships							
I B	Director	10% Owner	Officer	Other				
WOLTZ H O III 1373 BOGGS DRIVE MOUNT AIRY, NC 27030	Х		Chairman, President and CEO					
Signatures								
James F. Petelle for H. O. Woltz III		08/24/2015						
**Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options vest 1/3 annually beginning one year from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.