Edgar Filing: SYNERGETICS USA INC - Form 4

SYNERGE	FICS USA INC										
Form 4	2014										
January 07,									OMB AF	PROVAL	
FORM	UNITED	STATES SF			ND EX D.C. 20		NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no lon	ger			0.					Expires:	January 31, 2005	
subject t	SIAIEN	IENT OF C	F CHANGES IN BENEFICIAL OWN					NERSHIP OF	Estimated average		
	Section 16. SECURITIES Form 4 or								burden hours per response 0.5		
Form 5	Filed pur	suant to Sect	tion 16	(a) of th	e Securi	ties E	xchange	e Act of 1934,	10000100	0.0	
obligatio may con	Section 170			•	•	· ·		1935 or Section	1		
<i>See</i> Instr 1(b).	ruction	30(h) of 1	the Inv	estment	Compar	iy Ac	t of 194	0			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Fanning Michael			2. Issuer Name and Ticker or Trading Symbol SYNERGETICS USA INC [SURG]				-	5. Relationship of Issuer	Reporting Pers	on(s) to	
(Lest)	(Einst)					CIS	UKGJ	(Check	c all applicable)	
			3. Date of Earliest Transaction (Month/Day/Year) 01/03/2014					Director X Officer (give		Owner r (specify	
	CORPORATE C		/03/20	14				below)	below) Domestic Sales		
			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check			
								Applicable Line) _X_ Form filed by One Reporting Person			
O'FALLON	N, MO 63368							Form filed by M Form filed by M			
(City)	(State)	(Zip)	Table	I - Non-E	Derivative	Secur	ities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(
Common Stock	01/03/2014		,	S	2,290	(D) D	\$ 3.4749	76,978	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Unde Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Fanning Michael C/O SYNERGETICS USA, INC. 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368			VP of Domestic Sales				

Signatures

/s/ Michael R. Fanning 01/07/2014 <u>**Signature of</u> Date Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.