Edgar Filing: SYNERGETICS USA INC - Form 4

SYNERGET	TCS USA INC										
Form 4											
December 23	3, 2013										
FORM		STATES	SECU	DITIES	AND EY	СЦА	NCE	COMMISSION	Т	PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISS Washington, D.C. 20549								Number:	3235-0287		
if no long	or								Expires:	January 31, 2005	
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimat Section 16. SECURITIES Estimat							Estimated burden hor response	average urs per			
Form 5 obligation may cont <i>See</i> Instru 1(b).	Filed pur ns Section 17(a) of the l	Public U		lding Co	mpany	/ Act	nge Act of 1934, of 1935 or Sectio 940	·	. 0.0	
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Hable David M			2. Issuer Name and Ticker or Trading Symbol SYNERGETICS USA INC [SURO				-	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)	3. Date of	of Earliest 7	Fransactio	n		- (Che	ck all applicabl	e)	
			(Month/	(Month/Day/Year)				_X_ Director 10% Owner			
	RGETICS USA, CORPORATE C	ENTRE	12/19/2	2013				X Officer (giv below) Pre	ve title Oth below) esident and CEC	ner (specify)	
(Street) 4. I			4. If Am	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
								Person			
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivativ	e Secur	ities A	cquired, Disposed	of, or Beneficia	ally Owned	
	2. Transaction Date (Month/Day/Year)	Execution any	Date, if	3. Transactio Code (Instr. 8)	Dispose	d (A) or d of (D)		Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)			
Domindor: Don	ort on a concrete line	for each a	ass of soc					ar indiractly			
Kennider, Kep	ort on a separate line			unnes ben	Pers infor requ	ons wh mation ired to lays a c	no res cont respo	spond to the colle tained in this form ond unless the for ntly valid OMB co	i are not rm	SEC 1474 (9-02)	
	Tab			curities Ac ls, warrant				Beneficially Owned securities)	I		
1 Title of 2	2	nation Data	24 D-	amad	4	5 N.	umah c u	(Data Francisco	hland 7	Title and Amoun	

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. Number	6. Date Exercisable and	7. Title and Amount of	8. I
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction of Derivative	Expiration Date	Underlying Securities	Der

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securi (A) or Dispos (D) (Instr. and 5)	red aed of	(Month/Day	/Year)	(Instr. 3 and	4)	Sec (In:
				Code N	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (right to buy)	\$ 3.82	12/19/2013		А	7,50)	<u>(1)</u>	12/19/2023	Common Stock	7,500	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hable David M C/O SYNERGETICS USA, INC. 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368	Х		President and CEO				
Signatures							

/s/ David M. Hable	12/23/2013		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option vests in annual installments over four years from the date of grant.
- (2) The award was granted pursuant to the Second Amended and Restated Synergetics USA, Inc. 2001 Stock and Performance Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.