Edgar Filing: SYNERGETICS USA INC - Form 4

SYNERGI	ETICS USA INC										
Form 4	17 0012										
December									PPROVAL		
FOR	VI 4 UNITED	STATES					COMMISSIO	N OMB	3235-0287		
Check	this box		Wa	ashington	i, D.C. 20	1549		Number:	January 31,		
if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Expires:	2005			
subject to STATE VIENT OF CHARGES IN DE Section 16. SECURIT Form 4 or						TIES Estimated average burden hours per					
Form 5	_	rsuant to S	Section	16(a) of tl	he Securi	ties Excha	nge Act of 1934,	response	. 0.5		
obligat							of 1935 or Secti				
-	struction	30(h)	of the I	nvestmen	t Compai	ny Act of 1	940				
(Print or Typ	e Responses)										
1. Name and DICK RO	l Address of Reporting BERT H	Person [*]	2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer					
			SYNERGETICS USA INC [SURG				G] (Charles III - III)				
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction				(Check all applicable)				
				Day/Year)			_X_ Director 10% Owner Officer (give title Other (specify				
	ERGETICS USA, 5 CORPORATE C	ENTDE	12/13/	2013			below)	below)	ner (specify		
DRIVE	J CORFORATE C										
	(Street)		4. If Am	nendment, D	ate Origina	al	6. Individual or	Joint/Group Fili	ng(Check		
				onth/Day/Yea	-		Applicable Line)				
O'FALLO	N, MO 63368						_X_ Form filed by Form filed by Person	y One Reporting P More than One R			
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned		
1.Title of	2. Transaction Date	2A. Deem		3.	4. Securit		5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution	Date, if		onAcquired		Securities	Form: Direct	Indirect		
(Instr. 3)		any (Month/Day/Year)		Code (Instr. 8)	Disposed (Instr. 3.)		Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(11101111)20	aj, 10al)	(1115111-0)	(111541-0),		Following	(Instr. 4)	(Instr. 4)		
						(A)	Reported Transaction(s)				
				Codo V	Amount	or (D) Price	(Instr. 3 and 4)				
				Code v	Amount	(D) Price					
Reminder: R	eport on a separate line	e for each cl	ass of sec	curities bene	•	•	•				
							spond to the colle tained in this form		SEC 1474 (9-02)		
					requi	red to resp	ond unless the fo	rm			
					numb		ntly valid OMB co	ontrol			
	Tab						Beneficially Owner	d			
		(e.g.,	puts, cal	ls, warrant	s, options,	convertible	securities)				
1 77.4 6	о от	C D	24 0	1	4	5 N I			7		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Acquired (A) or Disposed of (D) (Instr. 3, 4,		(Instr. 3 and 4)		S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock option (right to buy)	\$ 3.46	12/13/2013		А	10,000	<u>(1)</u>	12/13/2023	Common Stock	10,000	
Repo	Reporting Owners									

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
DICK ROBERT H C/O SYNERGETICS USA, INC. 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368	Х			
Signatures				
/s/ Robert H. 12/17/2013 Dick				

<u>**</u>Signature of Date Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in four equal quarterly installments, with the first installment vesting three months from the date of grant.
- (2) The stock option was granted pursuant to the Amended and Restated Synergetics USA, Inc. 2005 Non-Employee Directors' Stock Option Plan, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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