

SYNERGETICS USA INC

Form 4

October 10, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MALIS JERRY L

(Last) (First) (Middle)

**C/O SYNERGETICS USA,
INC., 3845 CORPORATE CENTRE
DRIVE**

(Street)

O'FALLON, MO 63368

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

SYNERGETICS USA INC [SURG]

3. Date of Earliest Transaction
(Month/Day/Year)

10/08/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
EVP & Chief Scientific Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	10/08/2013		S		5,000	D \$ 4.55	439,805 D
Common Stock	10/08/2013		S		95	D \$ 4.56	439,710 D
Common Stock	10/08/2013		S		4,905	D \$ 4.5658	434,805 D
Common Stock	10/08/2013		S		5,000	D \$ 4.58	429,805 D
Common Stock	10/08/2013		S		200	D \$ 4.62	429,605 D

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Common Stock	10/09/2013	S	3,100	D	\$ 4.56	426,505	D
Common Stock	10/09/2013	S	900	D	\$ 4.57	425,605	D
Common Stock	10/09/2013	S	900	D	\$ 4.591	424,705	D
Common Stock	10/09/2013	S	100	D	\$ 4.59	424,605	D
Common Stock	10/09/2013	S	1,432	D	\$ 4.6	423,173	D
Common Stock	10/09/2013	S	100	D	\$ 4.62	423,073	D
Common Stock	10/10/2013	S	4,200	D	\$ 4.56	418,873	D
Common Stock	10/10/2013	S	100	D	\$ 4.57	418,773	D
Common Stock	10/10/2013	S	200	D	\$ 4.571	418,573	D
Common Stock	10/10/2013	S	200	D	\$ 4.575	418,373	D
Common Stock	10/10/2013	S	300	D	\$ 4.58	418,073	D
Common Stock	10/10/2013	S	5,000	D	\$ 4.6	413,073	D
Common Stock	10/10/2013	S	4,926	D	\$ 4.62	408,147	D
Common Stock	10/10/2013	S	74	D	\$ 4.63	408,073	D
Common Stock	10/10/2013	S	5,000	D	\$ 4.64	403,073	D
Common Stock	10/10/2013	S	8,873	D	\$ 4.68	394,200	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
MALIS JERRY L C/O SYNERGETICS USA, INC. 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368	EVP & Chief Scientific Officer

Signatures

/s/ Jerry L.
Malis 10/10/2013

**Signature of Date
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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