Edgar Filing: ALIGN TECHNOLOGY INC - Form 144

ALIGN TECHNOLOGY INC

Form 144 July 25, 2013	3												
July 23, 201.	3		UNITED ST	ГАТЕ	S						OMB A	ΛPF	PROVAL
SECURITIES AND EXCHANGE COMMISSION								OMB 3235-010 Number:		3235-0101			
Washington, D.C. 20549						Expires: February 28, 2014		28,					
								Estimated average burden					
			FORM :	144							hours per 1.00 response		
		NOTICE OF	PROPOSED S	ALE (OF SE	CURITIE	S				SEC USE ONLY		
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933								DOCUMENT SEQUENCE NO.					
											CUSIP	NU	JMBER
		_	3 copies of this or executing a s			-		_	ing a	n			
1 (a) NAME OF ISSUER (Please type or print) (b) IRS (c) S.E.C. FILE NO IDENT. NO.				NO	WORK LOCATION								
Align Tech					9432	67295	000	032259					
1 (d) ADDR OF ISSUER		STREET			CITY		STA	ATE	ZIP COI	ЭE	(e) TEL NO	ÆF	PHONE
		2560 ORCHA	RD PKWY		SAN	JOSE	CA	A	9513	31	408470)10	00
2 (a) NAME FOR WHOS THE SECU. TO BE SOL	SE ACC RITIES	COUNT	(b) RELATION TO ISSUER		(c) A	DDRESS	STR	REET	CIT	Y	STATE		ZIP CODE
ROGER GI HANNA GI			AFFILIATE		2560 PKW	ORCHA Y	RD		SAN JOS		CA		95131
INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.													
3 (a)	(b)		SEC USE ONLY	(c)	((d)		(e)		(f)		(g)	•
Title of the				Nun of Sh		Aggrega	ıte	Numbe Shares	er of	Appro	oximate	N	Vame of Each
Class of		and Address of Broker Through	Broker-Dealer	or O Un		Market	t	or Othe Units	er	Date	of Sale	S	ecurities

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Whom the

Securities	Securities are to be	File Number	To Be	Value	Outstanding	(See instr.	Exchange
To Be Sold	Offered or Each		Sold			3(f))	
	Market Maker						
	who is Acquiring the		(See instr.	(See instr.	(See instr.	(MO. DAY	(See instr.
	Securities		3(c))	3(d))	3(e))	YR.)	3(g))
	DB ALEX. BROWN						
	101 CALIFORNIA						
COMMON	ST., 46TH FL		26,252	1,143,366.00	21 557 000	07/25/2013	NASDAQ
	SAN FRANCISCO,			1,143,300.00	61,557,000		
	CA 94111						

INSTRUCTIONS:

- 1. (a) Name of issuer
 - (b) Issuer's I.R.S. Identification Number
 - (c) Issuer's S.E.C. file number, if any
 - (d) Issuer's address, including zip code
 - (e) Issuer's telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
 - (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
 - (c) Such person's address, including zip code

- 3. (a) Title of the class of securities to be sold
 - (b) Name and address of each broker through whom the securities are intended to be sold
 - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
 - (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to filing of this notice
 - (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
 - (f) Approximate date on which the securities are to be sold
 - (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are SEC 1147 not required to respond unless the form displays a currently valid OMB control number. (08-07)

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TABLE I — SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired		nture of
COMMON STOCK	07/25/2013	Stock option exercise, same day sale	ALIGN TECHNOLOGY INC	12,146	07/25/2013 na	
COMMON STOCK	07/31/2012	ESPP	ALIGN TECHNOLOGY INC	259	07/31/2012 na	
COMMON STOCK	01/31/2013	ESPP	ALIGN TECHNOLOGY INC	92	01/31/2013 na	
COMMON STOCK	02/18/2013	RSU	ALIGN TECHNOLOGY INC	8,113	02/18/2013 na	
COMMON STOCK	02/19/2013	RSU	ALIGN TECHNOLOGY INC	1,768	02/19/2013 na	
COMMON STOCK	02/20/2013	RSU	ALIGN TECHNOLOGY INC	3874	02/20/2013 na	

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II — SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

			Amount of	
		Date of	Securities	
Name and Address of Seller	Title of Securities Sold	Sale	Sold	Gross Proceeds

EXPLANATION OF RESPONSES:

REMARKS:

INSTRUCTIONS:

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which this Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

July 25, 2013 DATE OF NOTICE

ATTENTION: Intentional

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION. IF **RELYING ON RULE 10B5-1**

SEC 1147 (02-08)

misstatements or omission of facts constitute

Federal Criminal Violations (See 18 U.S.C. 1001)

ATTENTION:

notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ ROGER GEORGE (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

REMARKS: