Edgar Filing: Fanning Michael - Form 4

Fanning Micl	hael										
Form 4											
March 21, 20	013										
FORM			GEGUD						OMB AI	PPROVAL	
	UNITEDS	STATES		hington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check thi if no long							Expires:	January 31, 2005			
subject to	5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O					NERSHIP OF	Estimated average				
Section 1		SECURITIES							burden hours per		
Form 4 or Form 5		uant to S	Section 16	$\delta(a)$ of the	Securiti	os Ex	zehana	e Act of 1934,	response	0.5	
obligation	¹⁸ Section 17(a							f 1935 or Section	n		
may conti <i>See</i> Instru	inue.		of the Inv	•	•	- ·					
1(b).	letton				1.	•					
(Print or Type R	(esponses)										
1. Name and Address of Reporting Person * Fanning Michael2. Issuer Name and Ticker or Trading Symbol5. Relation Issuer						-	of Reporting Person(s) to				
	SYNERGETICS USA INC [SURG]										
(Last)	(First) (M	liddle)				- [~ -		(Chec	k all applicable	e)	
			3. Date of Earliest Transaction (Month/Day/Year)					Director 10% Owner			
C/O SYNER	RGETICS USA,		03/20/20	-				XOfficer (give below)	title Other o	er (specify	
	CORPORATE CE	ENTRE						· · · · · · · · · · · · · · · · · · ·	Domestic Sale	S	
DRIVE											
(Street) 4. If Amendr					-			6. Individual or Joint/Group Filing(Check			
Filed(Month/Day/Year)								Applicable Line) X Form filed by (ne Reporting Person		
O'FALLON	MO 63368							Form filed by M			
								Person			
(City)	(State) (Zip)	Table	e I - Non-Do	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Date			3.					6. Ownership		
Security (Instr. 3)	(Month/Day/Year)	any	on Date, if Transaction(A) or Disposed of Code (D)				1 01	Securities Beneficially	Form: Direct (D) or	Beneficial	
(/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)				5)	Owned	Indirect (I)	Ownership		
								Following Reported	(Instr. 4)	(Instr. 4)	
						(A)		Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	03/20/2013			Р	2,000	A	\$ 3.43	83,268	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Fanning Michael C/O SYNERGETICS USA, INC. 3845 CORPORATE CENTRE DRIVE O'FALLON, MO 63368			VP of Domestic Sales				
A I .							

Signatures

/s/ Michael Fanning 03/21/2013 <u>**</u>Signature of Date Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.