Edgar Filing: SCHULMAN A INC - Form 4

| SCHULMAN A INC Form 4 January 18, 2007 FORM 4 The form 5 Section 16. Form 5 obligations age enstruction 1(b). SCHULMAN A INC Form 5 Section 16. Form 5 obligations ago (b) of the Public Utility Holding Company Act of 1935 or Section 1(b). SCHULMAN A INC SECURITIES S | | | | | | | | | | |
|--|---|-------|--------------------|---|---------------------------------------|--|--------------------------|--|--|---|
| (Print or Type | - | | | | | | | | | |
| | Address of Reporting RN & CO., L.P. | S | Symbol | er Name a LMAN A | | | - | 5. Relationship of Issuer | | |
| (Last) | (First) | | | of Earliest | - | | - | (Cheo | ck all applica | ble) |
| 745 FIFTH FLOOR | AVENUE,, 18T | | (Month/)1/16/2 | Day/Year) 2007 | | | | below) | e title1 below) See Remarks | 0% Owner Other (specify |
| | | | | Amendment, Date Original Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person | | |
| (City) | (State) | (Zip) | Tal | ble I - Non | -Derivativ | ve Sec | urities Acq | uired, Disposed o | f, or Benefic | ially Owned |
| | 2. Transaction Date (Month/Day/Year) | | ate, if | 3. Transactic Code (Instr. 8) | 4. Securi our Dispos (Instr. 3, | ties A sed of 4 and (A) or | cquired (A) (D) 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 01/16/2007 | | | Code V P | 759 | (D) A | Price \$ 20.7533 | 9,389 <u>(1)</u> <u>(2)</u> (<u>3)</u> | I (1) (2) (3) | By D.B Zwirn Special Opportunities Fund, L.P. (1) (2) (3) |
| Common Stock | 01/16/2007 | | | Р | 1,091 | A | \$ 20.7533 | 63,049 (<u>1</u>) (<u>2</u>) (<u>3</u>) | I (1) (2) (3) | By D.B. Zwirn Special Opportunities Fund, Ltd. (1) (2) (3) |
| Common Stock | 01/17/2007 | | | Р | 531 | А | \$ 20.7184 | 9,920 (1) (2) (3) | I (1) (2) (3) | By D.B. Zwirn Special |

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| | | | | | | | | . . | tunities L.P. (1) |
|---|---|---|---|--|---|---|--|--------------------|---|
| Common Stock | 01/17/200 |)7 | Р | 764 A | \$ 20.71 | $\begin{array}{c} 63,813 \underline{(1)} \underline{(2)} \\ 84 \ \underline{(3)} \end{array} I \underline{(3)} \end{array}$ | (1) (2) (3) | Oppor | B. Special tunities Ltd. (1) |
| Common Stock | | | | | | $\frac{29,412}{(3)} \stackrel{(1)}{=} \frac{(2)}{12}$ | (1) (2) (3) | | |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number. | | | | | | | | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title Amount Underly Securiti (Instr. 3 | t of ving es | 8. Price of Derivative Security (Instr. 5) |
| | | | | | | | A | mount | |

| | | | | | | | Amount |
|------|---|-----|-----|-------------|--------------------|-------|--------|
| | | | | Date | Evairation | | or |
| | | | | Exercisable | Expiration Date | Title | Number |
| | | | | Exercisable | Date | | of |
| Code | V | (A) | (D) | | | | Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|---|---------------|-----------|---------|-------------|--|
| | Director | 10% Owner | Officer | Other | |
| D.B. ZWIRN & CO., L.P. 745 FIFTH AVENUE, 18TH FLOOR NEW YORK, NY 10151 | | | | See Remarks | |
| D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, L.P. 745 FIFTH AVENUE | | | | See Remarks | |

9. Nu Deriv Secu Bene

Own Follo Repo Trans

(Insti

| 18TH FLOOR NEW YORK, NY 10151 | |
|---|---------------------|
| HCM/Z Special Opportunities LLC 745 FIFTH AVENUE 18TH FLOOR NEW YORK, NY 10151 | See Remarks |
| DBZ GP, LLC 745 FIFTH AVENUE 18TH FLOOR NEW YORK, NY 10151 | See Remarks |
| ZWIRN HOLDINGS, LLC 745 FIFTH AVENUE 18TH FLOOR NEW YORK, NY 10151 | See Remarks |
| ZWIRN DANIEL B 745 FIFTH AVENUE 18TH FLOOR NEW YORK, NY 10151 | See Remarks |
| D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, LTD. C/O HIGHBRIDGE CAPITAL CORPORATION CORPORATE CENTRE, 4TH FLOOR 27 HOSPITAL ROAD, GRAND CAYMAN, E9 | See Remarks |
| Signatures | |
| D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, L.P., By: D.B. ZWIRN PARTNERS, LLC, its general partner, By: ZWIRN HOLDINGS, LLC, its managing member, By: /s/ Daniel B. Zwirn, its managing member | 01/18/2007 |
| ***Signature of Reporting Person | Date |
| D.B. ZWIRN SPECIAL OPPORTUNITIES FUND, LTD., By: D.B. Zwirn & Co., L.P., it manager, By: DBZ GP, LLC, its general partner, By: Zwirn Holdings, LLC, its managing member, By: /s/ Daniel B. Zwirn, its managing member | s 01/18/2007 |
| **Signature of Reporting Person | Date |
| HCM/Z SPECIAL OPPORTUNITIES LLC, By: D.B. Zwirn & Co., L.P., its manager, By DBZ GP, LLC, its general partner, By: Zwirn Holdings, LLC, its managing member, By: / Daniel B. Zwirn, its managing member | |
| **Signature of Reporting Person | Date |
| D.B. ZWIRN & CO., L.P., By: DBZ GP, LLC, its general partner, By: Zwirn Holdings, L its managing member, By: /s/ Daniel B. Zwirn, its managing member | LC, 01/18/2007 |
| <u>**</u> Signature of Reporting Person | Date |
| DBZ GP, LLC, By: Zwirn Holdings, LLC, its managing member, By: /s/ Daniel B. Zwirn managing member | , its 01/18/2007 |
| <u>**</u> Signature of Reporting Person | Date |
| ZWIRN HOLDINGS, LLC, By: /s/ Daniel B. Zwirn, its managing member | 01/18/2007 |

Explanation of Responses:

**Signature of Reporting Person

/s/ Daniel B. Zwirn

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes shares owned directly by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC. As the manager of D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC, D.B. Zwirn & Co., L.P. may be deemed to beneficially own the shares of Common

(1) Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC. As the general partner of D.B. Zwirn & Co., L.P., DBZ GP, LLC may be deemed to beneficially own the shares of Common Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC.

(Continuation of Footnote 1) As the managing member of DBZ GP, LLC, Zwirn Holdings, LLC may be deemed to beneficially own the shares of Common Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund,

(2) Ltd. and HCM/Z Special Opportunities LLC. As the managing member of Zwirn Holdings, LLC, Daniel B. Zwirn may be deemed to beneficially own the shares of Common Stock beneficially owned by D.B. Zwirn Special Opportunities Fund, L.P., D.B. Zwirn Special Opportunities Fund, Ltd. and HCM/Z Special Opportunities LLC.

Each Reporting Person disclaims beneficial ownership of these securities except to the extent of its pecuniary interest, and this report

(3) shall not be deemed to be an admission that any Reporting Person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

Each Reporting Person may be deemed to be a member of a Section 13(d) group that owns more than 10% of the Issuer's outst

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Date

01/18/2007 Date