

RANKIN JAMES T
Form 4
January 08, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN JAMES T

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/04/2019

____ Director
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Class A Common Stock | 01/04/2019 | | P | 1 ⁽¹⁾ A | \$ 63.06 552 | I | Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares |
| Class A Common Stock | 01/04/2019 | | P | 1 ⁽¹⁾ A | \$ 63.06 552 | I | Co-trustee of trust fbo nephew. Reflects |

| | | | | | | | | |
|----------------------------|------------|---|--------------|---|---------------------------|-----|---|---|
| Class A Common Stock | 01/04/2019 | P | 1 <u>(1)</u> | A | \$ 63.06 <u>(2)</u> | 553 | I | proportionate interests in Rankin Assoc VI shares Spouse's proportionate interest in shares held by Rankin Associates VI <u>(3)</u> |
| Class A Common Stock | 01/04/2019 | P | 1 <u>(1)</u> | A | \$ 63.06 <u>(2)</u> | 550 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 01/04/2019 | P | 1 <u>(1)</u> | A | \$ 63.06 <u>(2)</u> | 550 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 01/04/2019 | P | 2 <u>(1)</u> | A | \$ 64.14 <u>(4)</u> | 554 | I | Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares |
| Class A Common Stock | 01/04/2019 | P | 2 <u>(1)</u> | A | \$ 64.14 <u>(4)</u> | 554 | I | Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares |
| Class A Common Stock | 01/04/2019 | P | 2 <u>(1)</u> | A | \$ 64.14 <u>(4)</u> | 466 | I | Proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 01/04/2019 | P | 2 <u>(1)</u> | A | \$ 64.14 <u>(4)</u> | 555 | I | Spouse's proportionate interest in |

| | | | | | | | | |
|----------------------------|------------|---|--------------|---|---------------------------|--------|---|---|
| Class A Common Stock | 01/04/2019 | P | 2 <u>(1)</u> | A | \$ 64.14 <u>(4)</u> | 552 | I | shares held by Rankin Associates VI <u>(3)</u> Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | 01/04/2019 | P | 2 <u>(1)</u> | A | \$ 64.14 <u>(4)</u> | 552 | I | Child's proportionate interest in shares held by Rankin Associates VI |
| Class A Common Stock | | | | | | 9,562 | I | proportionate limited partnership interests in shares held by RA II LP |
| Class A Common Stock | | | | | | 93 | I | proportionate interest in shares held by Rankin Associates V |
| Class A Common Stock | | | | | | 569 | I | child's proportionate limited partnership interest in shares held by Rankin Associates II |
| Class A Common Stock | | | | | | 15,853 | I | Held by Trust |
| Class A Common Stock | | | | | | 862 | I | Spouse's proportionate limited partnership interests in shares held by Rankin Associates II LP <u>(3)</u> |

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| | | | | | | |
|----------------------------|--|--|--|-------|---|---|
| Class A Common Stock | | | | 563 | I | Shares held by spouse ⁽³⁾ |
| Class A Common Stock | | | | 761 | I | Minor child's proportionate interests in shares held by Rankin Associates II. ⁽³⁾ |
| Class A Common Stock | | | | 1,860 | I | Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. ⁽³⁾ |
| Class A Common Stock | | | | 645 | I | co-trustee of trust for the benefit of minor niece ⁽³⁾ |
| Class A Common Stock | | | | 1,712 | I | Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares. ⁽³⁾ |
| Class A Common Stock | | | | 563 | I | co-trustee of the trust for the benefit of the minor nephew ⁽³⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|--------------|--|---|---------------------------------|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|--------------|--|---|---------------------------------|

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Instr. 5) | | | | | | | | |
|----------------------------|------------------------------------|------------------|------------|---|------------|------|---|-----|-----|---------------------|--------------------|----------------------------|-------------------------------------|
| | | | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | (5) | | | | | | | | | (5) | (5) | Class A Common Stock | 9,562 |
| Class B Common Stock | (5) | | | | | | | | | (5) | (5) | Class A Common Stock | 569 |
| Class B Common Stock | (5) | | | | | | | | | (5) | (5) | Class A Common Stock | 15,683 |
| Class B Common Stock | (5) | | | | | | | | | (5) | (5) | Class A Common Stock | 862 |
| Class B Common Stock | (5) | | | | | | | | | (5) | (5) | Class A Common Stock | 563 |
| Class B Common Stock | (5) | | | | | | | | | (5) | (5) | Class A Common Stock | 761 |

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- (2) 2019-Jan-4 -Block 1 Weighted Average- Share Price represents average price between \$62.81 and \$63.77.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2019-Jan-4 -Block 2 Weighted Average- Share Price represents average price between \$63.95 and \$64.20.
- (5) N/A

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