

Rankin Lauran
Form 4
January 04, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rankin Lauran

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE,
SUITE 300

3. Date of Earliest Transaction (Month/Day/Year)
01/02/2019

____ Director
____ Officer (give title below) Other (specify below)
Family Member of Group

(Street)
CLEVELAND, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--|
| | | | | (A) or (D) | Price | | | |
| Class A Common Stock | 01/02/2019 | | P | 1 ⁽¹⁾ | \$ 61.89 ₍₂₎ | 204 | I | Spouse's proportionate interests in shares held by Rankin Associates VI ₍₃₎ |
| Class A Common Stock | 01/02/2019 | | P | 1 ⁽¹⁾ | \$ 61.89 ₍₂₎ | 295 | I | Reporting Person's trust's proportionate |

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| | | | | | | | | |
|----------------------------|------------|---|--------------|---|---------------------------|-----|---|---|
| Class A Common Stock | 01/02/2019 | P | 2 <u>(1)</u> | A | \$ 61.89 <u>(2)</u> | 540 | I | interests in shares held by Rankin Associates VI Child's proportionate limited partnership interest in shares held by RA VI |
| Class A Common Stock | 01/02/2019 | P | 1 <u>(1)</u> | A | \$ 62.49 | 205 | I | Spouse's proportionate interests in shares held by Rankin Associates VI <u>(3)</u> |
| Class A Common Stock | 01/02/2019 | P | 2 <u>(1)</u> | A | \$ 62.49 | 297 | I | Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI |
| Class A Common Stock | 01/02/2019 | P | 4 <u>(1)</u> | A | \$ 62.49 | 544 | I | Child's proportionate limited partnership interest in shares held by RA VI |
| Class A Common Stock | 01/03/2019 | P | 1 <u>(1)</u> | A | \$ 61.74 <u>(4)</u> | 93 | I | Spouse's proportionate interest in shares held by Rankin Associates V <u>(3)</u> |
| Class A Common Stock | 01/03/2019 | P | 2 <u>(1)</u> | A | \$ 61.74 <u>(4)</u> | 207 | I | Spouse's proportionate interests in shares held by Rankin Associates VI <u>(3)</u> |

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Code (Instr. 8) | 5. Transaction Number of Derivative | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | |
|--|------------------------------------|--------------------------------------|--|--------------------|-------------------------------------|--|---|--|---|
| Class A Common Stock | | 01/03/2019 | | P | 3 ⁽¹⁾ | A | \$ 61.74 ⁽⁴⁾ 300 | I | Reporting Person's trust's proportionate interests in shares held by Rankin Associates VI |
| Class A Common Stock | | 01/03/2019 | | P | 5 ⁽¹⁾ | A | \$ 61.74 ⁽⁴⁾ 549 | I | Child's proportionate limited partnership interest in shares held by RA VI |
| Class A Common Stock | | | | | | | 669 | I | Child's proportionate limited partnership interest in shares held by RA II LP |
| Class A Common Stock | | | | | | | 10,895 | I | Spouse's proportionate interests in shares held by Rankin Associates II ⁽³⁾ |
| Class A Common Stock | | | | | | | 769 | I | Held by a Trust for the benefit of Reporting Person's Spouse ⁽³⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Code (Instr. 8) | 5. Transaction Number of Derivative | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|------------------------------------|--------------------------------------|--|--------------------|-------------------------------------|--|---|--|
|--|------------------------------------|--------------------------------------|--|--------------------|-------------------------------------|--|---|--|

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- (2) 2019-Jan-2 Weighted Average - Share Price represents average price between \$61.18 and \$62.00.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2019-Jan-3 Weighted Average - Share Price represents average price between \$61.46 and \$61.82.
- (5) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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