

THOMPSON CARY H

Form 4

September 10, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
THOMPSON CARY H

2. Issuer Name **and** Ticker or Trading
Symbol
Fidelity National Financial, Inc.
[FNF]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
601 RIVERSIDE AVENUE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
09/07/2018

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

JACKSONVILLE, FL 32204

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
FNF Group Common Stock	09/07/2018		M		14,276	A	\$ 25.53 74,000
FNF Group Common Stock	09/07/2018		S		14,276	D	\$ 40.139 59,724 (1)
FNF Group Common Stock	09/07/2018		M		8,037	A	\$ 14.38 67,761

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FNF Group Common Stock	09/07/2018	S	8,037	D	\$ 40.107 (2)	59,724	D
FNF Group Common Stock	09/07/2018	M	46,751	A	\$ 17.76	106,475	D
FNF Group Common Stock	09/07/2018	S	46,751	D	\$ 40.088 (3)	59,724	D
FNF Group Common Stock	09/07/2018	M	16,575	A	\$ 21.84	76,299	D
FNF Group Common Stock	09/07/2018	S	16,575	D	\$ 40.085 (4)	59,724	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
FNF Group Stock Option (right to purchase)	\$ 25.53	09/07/2018		M		14,276		<u>(5)</u>	10/29/2022	FNF Group Common Stock	14,276
	\$ 14.38	09/07/2018		M		8,037		11/08/2013	11/08/2019		8,037

FNF Group Stock Option (right To Purchase)								FNF Group Common Stock	
FNF Group Stock Option (right To Purchase)	\$ 17.76	09/07/2018	M	46,751	<u>(6)</u>	11/21/2020	FNF Group Common Stock	46,751	
FNF Group Stock Option (right To Purchase)	\$ 21.84	09/07/2018	M	16,575	<u>(7)</u>	11/03/2021	FNF Group Common Stock	16,575	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
THOMPSON CARY H 601 RIVERSIDE AVENUE JACKSONVILLE, FL 32204	X			

Signatures

/s/ Colleen E. Haley, as
attorney-in-fact

09/10/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This transaction was executed in multiple trades at prices ranging from \$40.02 to \$40.26. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.

(2) This transaction was executed in multiple trades at prices ranging from \$40.03 to \$40.20. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.

(3) This transaction was executed in multiple trades at prices ranging from \$40.03 to \$40.21. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.

(4) This transaction was executed in multiple trades at prices ranging from \$40.03 to \$40.22. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer, full information regarding the shares sold at each separate price.

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- (5) The options vest in three equal annual installments beginning October 29, 2016.
- (6) The options vested in three equal annual installments beginning November 21, 2014.
- (7) The options vest in three equal annual installments beginning November 3, 2015 .

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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