Daley Dorian Form 4 July 05, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

**OMB** Number:

3235-0287

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January 31, 2005

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Daley Dorian

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle) ORACLE CORP [ORCL]

(Check all applicable)

C/O DELPHI ASSET MGMT

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

10% Owner Other (specify

07/02/2018

\_X\_\_ Officer (give title below) EVP, Gen'l Counsel, Secretary

Director

below)

CORPORATION, 5525 KIETZKE LAKE, SUITE 200

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

RENO, NV 89511

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative S	Secui	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		d of (	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/02/2018		M	20,313	A	\$ 0	57,267	D	
Common Stock	07/02/2018		F	10,072 (1)	D	\$ 44.06	47,195	D	
Common Stock	07/02/2018		M	20,312	A	\$ 0	67,507	D	
Common Stock	07/02/2018		F	10,071 (1)	D	\$ 44.06	57,436	D	
Common Stock	07/05/2018		M	50,000	A	\$ 30.11	107,436	D	

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Common S 45.1251 57,436 07/05/2018 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(4)</u>	07/02/2018		M	20,313	(5)	<u>(5)</u>	Common Stock	20,313
Restricted Stock Unit	<u>(4)</u>	07/02/2018		M	20,312	<u>(5)</u>	<u>(5)</u>	Common Stock	20,312
Stock Option	\$ 30.11	07/05/2018		M	50,000	<u>(6)</u>	07/01/2023	Common Stock	50,000

# **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Daley Dorian C/O DELPHI ASSET MGMT CORPORATION 5525 KIETZKE LAKE, SUITE 200 RENO, NV 89511

EVP, Gen'l Counsel, Secretary

Date

## **Signatures**

/s/ Lori A. Clancy by Lori A. Clancy, Attorney in Fact for Dorian Daley (POA filed 07/05/2018 12/24/15)

\*\*Signature of Reporting Person

Reporting Owners 2 Edgar Filing: Daley Dorian - Form 4

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld for payment of tax liability upon vesting of restricted stock units.
- (2) Sale of shares pursuant to Rule 10b5-1 Plan adopted on May 11, 2018.
- This transaction was executed in multiple trades at prices ranging from \$45.00 to \$45.145. The price reported above reflects the weighted
- (3) average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (5) Restricted stock units vest in four equal annual installments, beginning one year from date of grant.
- (6) Option vests 25% annually on anniversary of grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.