Edgar Filing: CABOT MICROELECTRONICS CORP - Form 4

| CABOT MIC Form 4 July 05, 2017 | CROELECTRON | NICS COR | Р | | | | | | | | |
|---|---|--|--|---------------------------|----------------------------|-------------------------------|--|--|--|---|--|
| | | | | | | | | | OMB AF | PROVAL | |
| FORM | UNITED | STATES S | | | AND EX , D.C. 20 | | NGE CO | OMMISSION | OMB Number: | 3235-0287 | |
| Check thi if no long subject to Section 1 | 6. STATEN | CATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Expires: January 31 2009 Estimated average burden hours per | | |
| Form 4 or Form 5 obligations may continue.responseSee Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | 0.5 | | | | |
| (Print or Type F | Responses) | | | | | | | | | | |
| Polezoes Lisa A Syn CA | | | Symbol CABOI | | d Ticker or | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| (Last) C/O CABO MICROELE | | Middle) | - | f Earliest T Day/Year) | ransaction | | | Director _X Officer (give t below) VP, Hu | | Owner r (specify s | |
| CORPORA' DRIVE | TION, 870 COM | MONS | | | | | | | | | |
| | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person | | | | |
| AURORA, | IL 60504 | | | | | | | Form filed by Me Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tabl | e I - Non-l | Derivative | Secur | ities Acqu | ired, Disposed of, | or Beneficial | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deeme Execution I any (Month/Day | Date, if | Code (Instr. 8) | omr Dispos (Instr. 3, 4 | ed of (and 5 (A) or | 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock (1) | 06/30/2017 | | | Code V A | Amount 76.549 | (D) A | Price \$ 53.593 | 21,183.843 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | le and unt of rlying rities . 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-----------------------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Addre | Relationships | | | | | |
|---|---------------|-----------|---------|------------------------|--|--|
| L O | Director | 10% Owner | Officer | Other | | |
| Polezoes Lisa A C/O CABOT MICROELECTRONICS CO 870 COMMONS DRIVE AURORA, IL 60504 | RPORATION | | | VP, Human Resources | | |
| Signatures | | | | | | |
| /s/ H. Carol Bernstein (Power of Attorney) | 07/05/2017 | | | | | |
| **Signature of Reporting Person | Date | | | | | |
| Evenlage attend of Deemana | | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Of the amount, 3,714 are still subject to restrictions according to various vesting schedules.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.