Edgar Filing: Hanrahan Kathleen A - Form 4

Hanrahan Ka	thleen A											
Form 4												
December 14	, 2018											
FORM	4									PPROVAL		
	UNITE	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								3235-0287		
Check thi if no long									Expires:	January 31,		
subject to		MENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF						Estimated average			
Section 1	SECURITIES						burden hours per					
Form 4 or									response	•		
Form 5 obligation	They pursuant to section 10(a) of the securities Exchange Act of 1934,											
may cont				•	•	· ·		f 1935 or Section	n			
See Instru		30(h)	of the In	vestment	Compan	y Ac	t of 194	40				
1(b).												
(Print or Type F	Pernonses)											
(I fint of Type I	(csponses)											
1. Name and A	ddress of Reportin	g Person *	2. Issuer Name and Ticker or Trading				nσ	5. Relationship of Reporting Person(s) to				
Hanrahan Kathleen A			Symbol					Issuer				
			2	INANCIA	L. INC.	ſMF	A]					
(Last)	MFA FINANCIAL, INC. [MFA]				1	(Check all applicable)						
(Last) (First) (Middle)			 Date of Earliest Transaction (Month/Day/Year) 					Director	10%	Owner		
C/O MFA FINANCIAL, INC., 350 PARK AVENUE, 20TH FLOOR			12/12/2018					X Officer (give title Other (specify				
								below) below) Senior Vice President				
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
			Filed(Mor	nth/Day/Year)			Applicable Line) _X_ Form filed by (One Reporting Pe	erson		
NEW YORI	K, NY 10022							Form filed by M				
	, 101 10022							Person				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Aco	quired, Disposed of	, or Beneficial	ly Owned		
1.Title of	2. Transaction Da	ate 2A. Dee	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Yea	1	on Date, if		on(A) or Disposed of				Indirect (I)	Indirect Beneficial Ownership (Instr. 4)		
(Instr. 3)		any (Month/	Day/Year)	Code (D) (Instr. 8) (Instr. 3, 4 and 5)			5)	Beneficially Owned				
		(INIOIIIII)	Day/ I cal)	(11150.0)	(1150.5, 4 and 5)							
						(A)		Reported	. ,			
						or		Transaction(s)				
				Code V		(D)	Price	(Instr. 3 and 4)				
Common	12/12/2018			А	8,274	А	\$0	112,992.504	D			
Stock	12/12/2010		11	(1)	11	ΨΟ	(2)					
Common	12/12/2010			F	4,001	D	\$ 7.01	108 001 504	D			
Stock	12/12/2018			Г	(3)	D	7.01	108,991.504	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
Reporting Owner Hume, Humes	Director	10% Owner	Officer	Other				
Hanrahan Kathleen A C/O MFA FINANCIAL, INC. 350 PARK AVENUE, 20TH FLOOR NEW YORK, NY 10022			Senior Vice President					
Signatures								
/s/ Kathleen A. 12/14/	2018							

Hanrahan <u>**</u>Signature of Reporting Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares acquired by the Reporting Person were received as a portion of the Reporting Person's annual incentive compensation and are fully vested as of the date of grant. The net shares acquired (i.e., net of the surrender of a portion of the shares acquired in order to satisfy

- (1) The period as of the date of grant. The net shares acquired (i.e., net of the surficience of a portion of the shares acquired in order to satisfy tax obligations (as described in Note 3 below)) are subject to a three-year holding period and may not be sold or otherwise transferred prior to December 12, 2021.
- (2) Includes 118.504 shares acquired under the dividend reinvestment feature of the MFA Financial, Inc. Discount Waiver, Direct Stock Purchase and Dividend Reinvestment Plan.
- (3) The reported disposition represents the surrender of shares to satisfy tax obligations arising from the receipt by the Reporting Person of the shares described in Note 1 above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.