

ConforMIS Inc
Form 3
June 30, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Lang Philipp

(Last) (First) (Middle)

C/O CONFORMIS, INC., 28
CROSBY DRIVE

(Street)

BEDFORD, MA 01730

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/30/2015

3. Issuer Name and Ticker or Trading Symbol
ConforMIS Inc [CFMS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☒ 10% Owner

☒ Officer ☐ Other
(give title below) (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

64,670

D

À

Common Stock

930,904

I

See footnote. (1)

Common Stock

12,498

I

See footnote. (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect Beneficial Ownership

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	Date Exercisable	Expiration Date	Derivative Security (Instr. 4) Title	Amount or Number of Shares	or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option (right to buy)	Â <u>(3)</u>	12/22/2016	Common Stock	125,000	\$ 1.1	D	Â
Stock Option (right to buy)	Â <u>(4)</u>	02/08/2018	Common Stock	363,636	\$ 1.22	D	Â
Stock Option (right to buy)	Â <u>(5)</u>	02/08/2018	Common Stock	222,694	\$ 1.22	D	Â
Stock Option (right to buy)	Â <u>(6)</u>	09/30/2020	Common Stock	125,000	\$ 4.32	D	Â
Stock Option (right to buy)	Â <u>(7)</u>	09/26/2021	Common Stock	246,008	\$ 5.26	D	Â
Stock Option (right to buy)	Â <u>(8)</u>	09/26/2021	Common Stock	18,231	\$ 5.26	D	Â
Stock Option (right to buy)	Â <u>(9)</u>	03/27/2022	Common Stock	187,500	\$ 5.5	D	Â
Stock Option (right to buy)	Â <u>(10)</u>	03/26/2022	Common Stock	7,613	\$ 5.5	D	Â
Stock Option (right to buy)	Â <u>(11)</u>	08/03/2024	Common Stock	82,500	\$ 8.96	D	Â
Stock Option (right to buy)	Â <u>(12)</u>	08/03/2024	Common Stock	82,500	\$ 10.96	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Lang Philipp C/O CONFORMIS, INC. 28 CROSBY DRIVE BEDFORD, MA 01730	Â X	Â X	Â President and CEO	Â

Signatures

/s/ Lan Marinelli,
Attorney-in-Fact

06/30/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares are held directly by the NP Irrevocable Trust udt dated 12/28/12. The Reporting Person's immediate family members are beneficiaries of the NP Irrevocable Trust udt dated 12/28/12, and the Reporting Person disclaims beneficial ownership of all shares except to the extent of his pecuniary interest therein.

(2) The shares are held directly by the Reporting Person's children.

(3) This option is fully vested.

(4) This option is fully vested.

(5) This option is fully vested.

(6) This option is fully vested.

(7) This option is fully vested.

(8) This option is fully vested.

(9) The option was granted on March 27, 2012 and vests over one year, with 8.3333% of the shares underlying the option vesting monthly after January 1, 2015.

(10) This option is fully vested.

(11) This option was granted on August 4, 2014 and vests over four years, with 2.0833% of the shares underlying the option vesting monthly after April 1, 2014.

(12) This option was granted on August 4, 2014 and vests over four years, with 2.0833% of the shares underlying the option vesting monthly after April 1, 2014.

Â

Remarks:

ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.