Amphastar Pharmaceuticals, Inc.

Form 3

June 24, 2014

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person \* Statement Amphastar Pharmaceuticals, Inc. [AMPH] Koo Richard (Month/Day/Year) 06/24/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O AMPHASTAR (Check all applicable) PHARMACEUTICALS, INC.. 11570 6TH STREET 10% Owner \_X\_ Director Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person **RANCHO** Form filed by More than One CUCAMONGA, Â CAÂ 91730 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 204,365 D Â Common Stock 5,457 (1) D By Richard Y. Koo, a sole Common Stock 10,000 Ι proprietorship (2) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Amphastar Pharmaceuticals, Inc. - Form 3

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exerc<br>Expiration Da<br>(Month/Day/Year)  Date Exercisable |            | 3. Title and A<br>Securities Un-<br>Derivative Se<br>(Instr. 4) | derlying | 4.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |  |
|--|--|------------|---|----------|---|---|---|--|
| Stock Option (Right to Buy)                | (3)  | 12/20/2018 | Common<br>Stock   | 29,278   | \$ 14.66  | D   | Â   |  |

## **Reporting Owners**

|  | Relationships |              |         |       |  |
|--|---------------|--------------|---------|-------|--|
| Reporting Owner Name / Address   | Director      | 10%<br>Owner | Officer | Other |  |
| Koo Richard<br>C/O AMPHASTAR PHARMACEUTICALS, INC.<br>11570 6TH STREET<br>RANCHO CUCAMONGA, CA 91730 | ÂX            | Â            | Â       | Â     |  |

## **Signatures**

/s/Richard Koo, by Power of Attorney

06/24/2014

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This represents deferred stock units that were granted on 12/20/2013 and fully vest on the first anniversary of the grant date, subject to the reporting person's continued service on the Board of Directors of the Issuer through the vesting date.
- (2) Reflects shares owned by Richard Y. Koo, a sole proprietorship, of which the Reporting Person is the sole owner.
- This option was granted on 12/20/2013 and fully vests on the first anniversary of the grant date, subject to the reporting person's continued service on the Board of Directors of the Issuer through the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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