Edgar Filing: China XD Plastics Co Ltd - Form 4

| China XD Pla | astics Co Ltd | | | | | | | | | | |
|--|--|-------------|--|-------------------------------------|-------------|--------------------------------|--|--|---|---------------------|--|
| Form 4 | 012 | | | | | | | | | | |
| August 14, 2013 | | | | | | | | | OMB APPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND E Washington, D.C. | | | | | | | | | OMB Number: | 3235-0287 | |
| Check this box if no longer | | | | | | | | | Expires: | January 31, 2005 | |
| subject to STATEMENT OF CHANG | | | | GES IN BENEFICIAL OWN SECURITIES | | | | NERSHIP OF | Estimated burden hou | average Irs per | |
| Form 4 or Form 5 | | nursuant to | Section 16 | 5(a) of the | Securiti | es Fr | xchano | re Act of 1934 | response | . 0.5 | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type R | lesponses) | | | | | | | | | | |
| 711 A L L INIX/LLA NI | | | Symbol | - | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | China X | a XD Plastics Co Ltd [CXDC] | | | | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | V Dimeter | 100 | 1. Оттични | | | |
| (Month/D ROOM 204, BUILDING 13, 05/10/20 BLOCK C,, SHANGHAILVDI, LVYUAN DISTRICT | | | - | | | | _X_ Director10% Owner Officer (give titleOther (specify below)below) | | | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | | | | |
| CHANGCHUN JILIN, F4 130000 | | | | | | | | Form filed by I | y One Reporting Person y More than One Reporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-Do | erivative S | Securi | ties Ac | quired, Disposed o | f, or Beneficia | lly Owned | |
| 1.Title of Security (Instr. 3) | ecurity (Month/Day/Year) Execution Date, if Instr. 3) any | | 3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) | | | SecuritiesFBeneficially(OwnedI | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | | |
| | | | | | | (A) or | | Transaction(s) (Instr. 3 and 4) | | | |
| Common | | | | Code V | Amount | (D) | Price | (mou. 5 and +) | | | |
| Stock, par value \$0.0001 | 05/10/2013 | | | А | 1,959 | А | <u>(1)</u> | 6,188 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Amou Unde Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-----------------------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| ZHAI LINYUAN ROOM 204, BUILDING 13, BLOCK C, SHANGHAILVDI, LVYUAN DISTRICT CHANGCHUN JILIN, F4 130000 | Х | | | | | |
| Signatures | | | | | | |
| /s/ Linyuan Zhai | | | | | | |

<u>**</u>Signature of

08/14/2013 Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- No purchase price paid. The shares were issued to the reporting person by the Issuer pursuant to the Issuer's 2009 Stock Option / Stock(1) Issuance Plan for services rendered to the Issuer. The shares shall vest on the date that is six months after May 10, 2013, the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.