Edgar Filing: INC Research Holdings, Inc. - Form 4

INC Research Holdings, Inc. Form 4 April 04, 2017 FORM 4 UNITED Check this box	STATES SECU	RITIES AN ashington, D			NGE C	OMMISSION	OMB Number:	PROVAL 3235-0287 January 31,	
if no longer subject to Section 16. SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. SECURITIES 200 Estimated average burden hours per								2005 verage	
(Print or Type Responses)									
1. Name and Address of Reporting Gaenzle Christopher L	r Name and Ticker or Trading search Holdings, Inc. [INCR]				5. Relationship of Reporting Person(s) to Issuer				
(Last) (First)		3. Date of Earliest Transaction				(Check all applicable)			
(Month/Day/Year) C/O INC RESEARCH HOLDINGS, 04/03/2017 INC., 3201 BEECHLEAF COURT, SUITE 600				Director X Officer (give the below)			title 10% Owner below) O, GC & Sec		
(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
RALEIGH, NC 27604						Person		porting	
(City) (State)	(Zip) Tak	ole I - Non-Der	ivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficial	ly Owned	
	ecurity (Month/Day/Year) Execution Date, if		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common 04/03/2017 Stock				A	\$ 10.57	53,050	D		
Class A Common 04/03/2017 Stock		S <u>(1)</u> 4	4,733	D	\$ 45.7	48,317	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Transaction of Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of Securities 4)	8. D Se (Ii
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy Class A Common Stock)	\$ 10.57	04/03/2017		M <u>(1)</u>	4,733	(2)	04/02/2022	Class A Common Stock	4,733	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Gaenzle Christopher L C/O INC RESEARCH HOLDINGS, INC. 3201 BEECHLEAF COURT, SUITE 600 RALEIGH, NC 27604			CAO, GC & Sec			

Signatures

/s/ Christopher L. 04/04/2017 Gaenzle **Signature of Reporting Date

Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on (1) November 7, 2016.
- One half of the shares subject to this option vest yearly in five equal installments beginning on April 2, 2013, subject to continued
- (2) employment. The other half of the shares subject to this option vest yearly in five equal installments beginning on December 31, 2013, subject to continued employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners

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