

RICE TOM
Form 4
February 25, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RICE TOM

2. Issuer Name and Ticker or Trading Symbol
PACIFIC PREMIER BANCORP INC [PPBI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
17901 VON KARMAN, SUITE 1200
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
02/21/2019

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
SEVP/ Chief Innovation Officer

IRVINE, CA 92614

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
PPBI Common Stock	02/21/2019		M	V 5,000 A \$ 10.44	34,638 ⁽¹⁾ ⁽²⁾	D	
PPBI Common Stock	02/21/2019		G ⁽³⁾	V 5,000 D \$ 0	29,638 ⁽¹⁾ ⁽²⁾	D	
PPBI Common Stock	02/21/2019		G ⁽³⁾	V 5,000 A \$ 0	28,581 ⁽²⁾	I	By The Rice Family Trust ⁽⁴⁾
PPBI					3,000 ⁽²⁾	I	By Charles

Edgar Filing: RICE TOM - Form 4

Common Stock							Schwab 401(k) Plan
PPBI Common Stock					3,100 ⁽²⁾	I	By Ameritrade 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8 D S (
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options on PPBI Common Stock	\$ 10.44	02/21/2019		M	5,000	⁽⁵⁾	01/02/2023	PPBI Common Stock	5,000
Options on PPBI Common Stock	\$ 15.68					⁽⁶⁾	01/02/2024	PPBI Common Stock	20,000
Options on PPBI Common Stock	\$ 15.16					⁽⁷⁾	01/28/2025	PPBI Common Stock	2,964
PPBI Restricted Stock Unit	⁽⁸⁾					⁽⁸⁾	⁽⁹⁾	PPBI Common Stock	5,945
PPBI Restricted Stock Unit	⁽¹⁰⁾					⁽¹⁰⁾	⁽⁹⁾	PPBI Common Stock	364

