

Edgar Filing: BERKSHIRE HATHAWAY INC - Form SC 13G/A

BERKSHIRE HATHAWAY INC

Form SC 13G/A

February 14, 2002

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G
(Rule 13d-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT
TO RULES 13d-1(b) (c), AND (d) AND AMENDMENTS THERETO FILED
PURSUANT TO RULE 13d-2(b)/1/
Amendment No. 1

Liz Claiborne Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

539320 10 1

(CUSIP Number)

December 31, 2001

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule
is filed:

☒ Rule 13d-1(b)

☐ Rule 13d-1(c)

☐ Rule 13d-1(d)

(Continued on following Pages)

/1/ The remainder of this cover page shall be filled out for a reporting
person's initial filing on this form with respect to the subject class of
securities, and for any subsequent amendment containing information which would
alter disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed
to be "filed" for the purpose of Section 18 of the Securities Exchange Act of
1934 ("Act") or otherwise subject to the liabilities of that section of the Act
but shall be subject to all other provisions of the Act (however, see the
Notes).

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NAME OF REPORTING PERSON

1 S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

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Warren E. Buffett

CHECK APPROPRIATE BOX IF A MEMBER OF A GROUP*

2 (A) ☒ (B) ☐

SEC USE ONLY

3

CITIZEN OR PLACE OF ORGANIZATION

4

United States Citizen

SOLE VOTING POWER

5

NUMBER OF
SHARES -0-

SHARED VOTING POWER

6

BENEFICIALLY
OWNED BY 984,300

SOLE DISPOSITIVE POWER

7

EACH
REPORTING -0-

-0-

PERSON WITH

SHARED DISPOSITIVE POWER

8

984,300

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

9

984,300

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*

10

☐ Not Applicable

PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

11

1.87

TYPE OF REPORTING PERSON

12

IN

CUSIP No. 539320 10 1

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NAME OF REPORTING PERSON

1

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

Berkshire Hathaway Inc.

CHECK APPROPRIATE BOX IF A MEMBER OF A GROUP*

2

(A) ☒ (B) ☐

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3 SEC USE ONLY

4 CITIZEN OR PLACE OF ORGANIZATION
Delaware Corporation

NUMBER OF 5 SOLE VOTING POWER
SHARES -0-

BENEFICIALLY 6 SHARED VOTING POWER
OWNED BY 984,300

EACH 7 SOLE DISPOSITIVE POWER
REPORTING -0-

PERSON WITH 8 SHARED DISPOSITIVE POWER
984,300

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
984,300

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*
Not Applicable ☐

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
1.87

12 TYPE OF REPORTING PERSON
HC, CO

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1 NAME OF REPORTING PERSON
S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON
OBH, Inc.

2 CHECK APPROPRIATE BOX IF A MEMBER OF A GROUP*
(A) ☒
(B) ☐

3 SEC USE ONLY

CITIZEN OR PLACE OF ORGANIZATION

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4

Delaware Corporation

		SOLE VOTING POWER
NUMBER OF	5	
SHARES		-0-
		SHARED VOTING POWER
BENEFICIALLY	6	
OWNED BY		984,300
		SOLE DISPOSITIVE POWER
EACH	7	
REPORTING		-0-
		SHARED DISPOSITIVE POWER
PERSON WITH	8	
		984,300
AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON		
9		984,300
CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*		
10		☐
		Not Applicable
PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)		
11		1.87
TYPE OF REPORTING PERSON		
12		HC, CO

CUSIP No. 539320 10 1

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NAME OF REPORTING PERSON	
1	S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON
	National Indemnity Company
CHECK APPROPRIATE BOX IF A MEMBER OF A GROUP*	
2	(A) ☒ (B) ☐
SEC USE ONLY	
3	
CITIZEN OR PLACE OF ORGANIZATION	
4	Nebraska Corporation
SOLE VOTING POWER	
5	
NUMBER OF	-0-

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SHARES	-----	
		SHARED VOTING POWER
BENEFICIALLY OWNED BY	6	984,300

EACH REPORTING PERSON WITH	7	SOLE DISPOSITIVE POWER
		-0-

	8	SHARED DISPOSITIVE POWER
		984,300

9		AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
		984,300

10		CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES* ☐
		Not Applicable

11		PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
		1.87

12		TYPE OF REPORTING PERSON
		IC, CO

Item 1(a). Name of Issuer

Liz Claiborne Inc.

Item 1(b). Address of Issuer's Principal Executive Offices:

1441 Broadway
New York, NY 10018

Item 2(a). Name of Persons Filing:

Item 2(b). Address of Principal Business Office:

Item 2(c). Citizenship:

Warren E. Buffett
1440 Kiewit Plaza
Omaha, Nebraska 68131
United States Citizen

Berkshire Hathaway Inc.
1440 Kiewit Plaza
Omaha, Nebraska 68131
Delaware Corporation

OBH, Inc.
1440 Kiewit Plaza
Omaha, Nebraska 68131
Delaware Corporation

National Indemnity Company

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3024 Harney Street
Omaha, Nebraska 68131
Nebraska Corporation

Item 2(d). Title of Class of Securities:

Common Stock

Item 2(e). CUSIP Number

539320 10 1

Item 3. If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

- (a) ☐ Broker or Dealer registered under section 15 of the Act
- (b) ☐ Bank as defined in section 3(a)(6) of the Act.
- (c) ☒ Insurance company as defined in section 3(a)(19)

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of the Act.

National Indemnity Company

- (d) ☐ Investment Company registered under Section 8 of the Investment Company Act of 1940.
- (e) ☐ An investment advisor registered in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) ☐ An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F);
- (g) ☒ A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G);

OBH, Inc.
Berkshire Hathaway Inc.
Warren E. Buffett (an individual who may be deemed to control Berkshire Hathaway Inc.)
- (h) ☐ A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act;
- (i) ☐ A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act;
- (j) ☒ Group in accordance with Rule 13d-1(b)(1)(ii)(J).

See Exhibit A

Item 4. Ownership.

Warren E. Buffett

- (a) Amount Beneficially Owned:

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984,300

(b) Percent of Class:

1.87

(c) Number of shares as to which the person has:

(i) sole power to vote or to direct the vote:

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-0-

(ii) shared power to vote or to direct the vote:

984,300

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

984,300

Berkshire Hathaway Inc.

(a) Amount Beneficially Owned:

984,300

(b) Percent of Class:

1.87

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

-0-

(ii) shared power to vote or to direct the vote:

984,300

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

984,300

OBH, Inc.

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(a) Amount Beneficially Owned:

984,300

(b) Percent of Class:

1.87

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote:

-0-

(ii) shared power to vote or to direct the vote:

984,300

(iii) sole power to dispose or direct the disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

984,300

National Indemnity Company

(a) Amount Beneficially Owned:

984,300

(b) Percent of Class:

1.87

(c) Number of shares as to which the person has:

(i) sole power to vote or to direct the vote:

-0-

(ii) shared power to vote or to direct the vote:

984,300

(iii) sole power to dispose or direct the

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disposition of:

-0-

(iv) shared power to dispose or to direct the disposition of:

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984,300

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [X].

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable

Item 7. Identification and classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

See Exhibit A

Item 8. Identification and Classification of Members of the Group.

See Exhibit A

Item 9. Notice of Dissolution of Group.

Not Applicable

Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated this 14th day of February, 2002

/s/ Warren E. Buffett

Warren E. Buffett

BERKSHIRE HATHAWAY INC.

OBH, Inc

By: /s/ Warren E. Buffett

By: /s/ Warren E. Buffett

Warren E. Buffett
Chairman of the Board

Warren E. Buffett
Chairman of the Board

NATIONAL INDEMNITY COMPANY

By: /s/ Warren E. Buffett

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Warren E. Buffett
Chairman of the Board

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Exhibit A

MEMBERS OF FILING GROUP

PARENT HOLDING COMPANY:

Berkshire Hathaway Inc.

OBH, Inc.

Warren E. Buffett (an individual who may be deemed to control Berkshire Hathaway Inc.)

RELEVANT SUBSIDIARIES THAT ARE INSURANCE COMPANIES AS DEFINED IN SECTION 3(a)(19) OF THE ACT:

National Indemnity Company