#### DELTA AIR LINES INC /DE/

Form 3

March 03, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0104

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**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

West W Gilbert

(Last)

(First) (Middle)

Statement (Month/Day/Year)

03/01/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

DELTA AIR LINES INC /DE/ [DAL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

EVP & COO

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O DELTA AIR LINES, INC., P.O. BOX 20574, DEPT.

981

(Street)

Director \_X\_\_ Officer (give title below) (specify below)

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

ATLANTA. GAÂ 30320

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

> (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership

Form: Direct (D) or Indirect (Instr. 5)

Common Stock

115,420 (1) (2)

Â D

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

4.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

1. Title of Derivative Security (Instr. 4)

## Edgar Filing: DELTA AIR LINES INC /DE/ - Form 3

Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

**Reporting Owners** 

Reporting Owner Name / Address Relationships

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Director 10% Owner Officer Other

West W Gilbert C/O DELTA AIR LINES, INC. P.O. BOX 20574, DEPT. 981 ATLANTA. GAÂ 30320

Â EVP & COO Â

**Signatures** 

/s/ Jan M. Davidson as attorney-in-fact for W. Gilbert West

03/03/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 115,420 shares of restricted common stock. Of those restricted shares, (i) 32,460 were granted to the Reporting Person on February 7, 2013 and will vest on February 1, 2015, (ii) 41,500 were granted to the Reporting Person on February 7, 2013 and will vest 50% on February 1, 2015 and 50% on February 1, 2016, and (iii) 41,280 were granted to the Reporting Person on February 6, 2014 and will vest in three equal annual installments on February 1, 2015, 2016 and 2017
- (2) The grant of the restricted stock was approved by the Personnel & Compensation Committee of Delta's Board of Directors and are exempt from Section 16(b) of the Securities Exchange Act of 1934 under Rule 16b-3(d).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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