

FORD WILLIAM E  
Form 4  
November 06, 2009

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FORD WILLIAM E

(Last) (First) (Middle)

GENERAL ATLANTIC SERVICE COMPANY, LLC, 3 PICKWICK PLAZA

(Street)

GREENWICH, CT 06830

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NYSE Euronext [NYX]

3. Date of Earliest Transaction (Month/Day/Year)  
12/11/2008

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
|                                 |                                      |  |                                | Code V  | Amount  |  |                                   |
| Common Stock                    | 12/11/2008                           |  | J                              | A   | \$ 0<br>(1)   | 13,251 (3)   | D                                 |
| Common Stock                    | 06/04/2009                           |  | J                              | D   | \$ 0<br>(2)   | 2,618  | D                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Restricted Stock Units                     | (4)  | 12/11/2008                           |  | J                              | 13,251  | (5) (5)  | Common Stock  | 13,251                        |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| FORD WILLIAM E<br>GENERAL ATLANTIC SERVICE COMPANY, LLC<br>3 PICKWICK PLAZA<br>GREENWICH, CT 06830 | X             |           |         |       |

## Signatures

/s/ William E.  
Ford  
11/06/2009

\*\*Signature of Reporting Person                      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As a result of an administrative error by the Issuer, on December 11, 2008 13,251 Restricted Stock Units ("RSU") issued under the NYSE Euronext 2008 Omnibus Incentive Plan (the "plan") were mistakenly converted into common stock of the Issuer. In order to avoid or minimize penalty taxes that would be imposed under Section 409A of the Internal Revenue Code as a result of the mistaken conversion of
- (1) the RSUs into common stock, the Issuer and the Reporting Person followed the correction procedures set forth in IRS Notice 2008-113. These procedures required the Reporting Person to transfer the shares received upon the mistaken conversion of the RSUs to the Issuer (the June 4, 2009 transfer). Thereafter, the Issuer issued new shares to the Reporting Person in accordance with the plan (the June 16, 2009 issuance). Neither the Reporting Person nor the Issuer paid any consideration in connection with these transfers.
  - (2) These shares were returned to the Issuer on June 4, 2008 in order to correct the administrative error.
  - (3) Amount reported represents the shares of common stock that were received by the Reporting Person upon the mistaken conversion of the RSUs.
  - (4) Each RSU represents the right to receive one share of common stock upon vesting.
  - (5) These RSUs were mistakenly converted into shares of common stock of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

## Edgar Filing: FORD WILLIAM E - Form 4

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