CARNIVAL PLC Form 4

October 13, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

may continue.

See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person **
NICKEL CONTINUED
IRREVOCABLE TRUST

(Last) (First) (Middle)

ATTENTION: DAVID LEY HAMILTON, 1201 NORTH MARKET STREET, 18TH FLOOR

(Street)

2. Issuer Name **and** Ticker or Trading Symbol

CARNIVAL PLC [CUK]

3. Date of Earliest Transaction (Month/Day/Year) 10/08/2009

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

(Check all applicable)

____ Director __X__ 10% Owner
____ Officer (give title __X__ Other (specify below)
See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line)_X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Pe.

WILMINGTON, I	DE	19801

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	e Secu	ırities Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Trust			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Shares (interest in special voting share) (1)	10/08/2009		S	42,491 (<u>2)</u>	D	\$ 33.3365 (3)	846,346	D	
Trust Shares (interest in special voting	10/09/2009		S	42,491 (<u>2)</u>	D	\$ 32.8216 (4)	803,855	D	

share) (1)

Trust Shares

(interest S D 10/12/2009 32.7147 761,364 in special

voting share) $\underline{^{(1)}}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Exercisable

Date

of

Shares

SEC 1474 (9-02)

9. Nu

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(Insti

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and 8. Price of Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber **Expiration Date** Amount of Derivative Security or Exercise Code of (Month/Day/Year) Underlying Security (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Derivative Securities (Instr. 5) Derivative Securities (Instr. 3 and 4) Security Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Amount or Expiration Title Number Date

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Code V (A) (D)

NICKEL CONTINUED IRREVOCABLE TRUST ATTENTION: DAVID LEY HAMILTON

X See Remarks 1201 NORTH MARKET STREET, 18TH FLOOR

WILMINGTON, DE 19801

Signatures

/s/ John J. O'Neil, Vice President of JMD Delaware, Inc., as Trustee for Nickel Continued 10/13/2009 Irrevocable Trust

> **Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: CARNIVAL PLC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to
- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (2) These shares were sold pursuant to a Rule 10b5-1(c) sales plan dated August 12, 2009.
- This transaction was executed in multiple trades at prices ranging from \$32.9800 to \$33.7500. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- This transaction was executed in multiple trades at prices ranging from \$32.5900 to \$33.2500. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- This transaction was executed in multiple trades at prices ranging from \$32.3900 to \$33.1400. The price reported above reflects the weighted average purchase prices on the dates indicated. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Remarks:

CarnivalCorporation. However, the reporting person disclaims such group membership, and this report shall not be deemed at admission that the reporting person is amember of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for anyother purpose.

The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.