

MKS INSTRUMENTS INC  
Form 4  
March 03, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EMERSON ELECTRIC CO

(Last) (First) (Middle)

8000 W. FLORISSANT AVE.

(Street)

ST. LOUIS, MO 63136

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

MKS INSTRUMENTS INC [MKSI]

3. Date of Earliest Transaction (Month/Day/Year)

03/01/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock	03/01/2006		S	66,000 D \$ 22.7363 (1) (2)	8,122,565	I	Through a subsidiary (3)
Common Stock	03/02/2006		S	63,092 D \$ 22.5606 (4) (5)	8,059,473	I	Through a subsidiary (3)
Common Stock					1,065,182	D (6)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
EMERSON ELECTRIC CO 8000 W. FLORISSANT AVE. ST. LOUIS, MO 63136		X		
ASTECC AMERICA INC 5810 VAN ALLEN WAY CARLSBAD, CA 92008		X		

## Signatures

/s/ Harley M. Smith, Assistant Secretary for Emerson Electric Co. 03/03/2006

\_\_Signature of Reporting Person Date

/s/ Harley M. Smith, Secretary for Astec America Inc. 03/03/2006

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Reporting Person gave a sell order to the broker to sell shares of MKS Instruments, Inc. common stock which resulted in sales of 66,000 shares on March 1, 2006. In the discretion of the broker, the sales were effected in multiple transactions, at varying prices, on March 1, 2006, as follows and as described in Footnote 2 below: 5,100 shares at \$22.40; 1,800 at \$22.41; 700 at \$22.42; 600 at \$22.44; 1,847 at \$22.45; 153 at \$22.46; 300 at \$22.47; 200 at \$22.48; 300 at \$22.49; 400 at \$22.50; 700 at \$22.51; 300 at \$22.52; 200 at \$22.53; 100 at \$22.54; 300 at \$22.55; 500 at \$22.56; 700 at \$22.58; 200 at \$22.59; 700 at \$22.63; 346 at \$22.64; 1,300 at \$22.65; and 1,100 at \$22.66.

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- This footnote sets forth additional detail with respect to the transactions described in Footnote 1, as follows: 1,000 shares at \$22.67; 800 at \$22.68; 1,600 at \$22.69; 1,100 at \$22.70; 500 at \$22.71; 2,000 at \$22.72; 2,900 at \$22.73; 1,900 at \$22.74; 1,400 at \$22.75; 2,200 at \$22.76; 1,355 at \$22.77; 892 at \$22.78; 3,303 at \$22.79; 800 at \$22.80; 2,500 at \$22.81; 800 at \$22.82; 800 at \$22.8287; 700 at \$22.83;
- (2) 1,800 at \$22.84; 3,100 at \$22.85; 900 at \$22.86; 400 at \$22.87; 600 at \$22.88; 1,700 at \$22.89; 400 at \$22.90; 1,000 at \$22.91; 800 at \$22.92; 700 at \$22.93; 2,800 at \$22.94; 200 at \$22.945; 1,200 at \$22.95; 1,204 at \$22.96; 800 at \$22.97; 600 at \$22.98; 400 at \$22.985; 1,000 at \$22.99; 200 at \$22.9985; 600 at \$23.00; 300 at \$23.01; 400 at \$23.02; 300 at \$23.03; and 200 at \$23.04. The weighted average sales price for these transactions was \$22.7363 per share.
- (3) The reported securities are owned directly by Astec America Inc. The Reporting Person is the ultimate parent company of Astec America Inc.
- The Reporting Person gave a sell order to the broker to sell shares of MKS Instruments, Inc. common stock which resulted in sales of 63,092 shares on March 2, 2006. In the discretion of the broker, the sales were effected in multiple transactions, at varying prices, on March 2, 2006, as follows and as described in Footnote 5 below : 600 shares at \$22.40; 1,100 at \$22.41; 300 at \$22.42; 1,500 at \$22.44; 140 at \$22.48; 200 at \$22.49; 11,909 at \$22.50; 6,600 at \$22.51; 700 at \$22.52; 2,000 at \$22.53; 14,200 at \$22.54; 300 at \$22.55; 6,392 at \$22.56; 3,460 at \$22.57; 300 at \$22.58; 1,000 at \$22.59; 500 at \$22.60; 900 at \$22.62; 1,000 at \$22.63; 1,300 at \$22.64; 200 at \$22.65; 600 at \$22.66; 215 at \$22.67; 285 at \$22.68; 300 at \$22.69; 100 at \$22.70; 100 at \$22.71; and 300 at \$22.72.
- (4)
- This footnote sets forth additional detail with respect to the transactions described in Footnote 4, as follows: 1,400 shares at \$22.73; 618 at \$22.74; 700 at \$22.75; 600 at \$22.76; 600 at \$22.77; 400 at \$22.78; 300 at \$22.79; 700 at \$22.80; 500 at \$22.81; 200 at \$22.82; 300 at \$22.83; 173 at \$22.84; and 100 at \$22.90. The weighted average sales price for these transactions was \$22.5606 per share.
- (5)
- (6) The reported securities are owned directly by Emerson Electric Co.

### Remarks:

See Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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