AGER GEORGE M JR

Form 4

March 22, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* AGER GEORGE M JR

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

below)

Symbol

QUAINT OAK BANCORP INC

(Check all applicable)

[QNTO]

(Last) (First) (Middle) 3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

C/O QUAINT OAK BANCORP, INC., 501 KNOWLES AVENUE

> (Street) 4. If Amendment, Date Original

> > Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

03/21/2019

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Ι

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SOUTHAMPTON, PA 18966

(State)

(Instr. 3) any (Month/Day/Ye	ite, if Year)
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(Zip)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Code V Amount

M

(D) Price 1,500

 $35,302 \frac{(1)}{2}$ 

6,967.693 (2)

Common 03/21/2019 Stock

8.1

By Spouse through **ESOP** 

Common Stock

(City)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 8.1	03/21/2019		M	1,500	05/08/2018(3)	05/08/2023	Common Stock	1,500
Stock Option (Right to Buy)	\$ 13.3					<u>(4)</u>	05/09/2028	Common Stock	5,000

# **Reporting Owners**

Reporting Owner Name / Address	Keiauonsnips					
	Director	10% Owner	Officer	Other		
AGER GEORGE M JR						
C/O QUAINT OAK BANCORP, INC.	X					
501 KNOWLES AVENUE	Λ					
SOUTHAMPTON, PA 18966						

## **Signatures**

/s/Diane J. Colyer by P.O.A. for George M. 03/22/2019 Ager

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 1,500 shares granted pursuant to the Issuer's 2018 Stock Incentive Plan that vest ratably over five years at 20% per year (1) commencing on May 9, 2019 and 33,802 shares held jointly with the reporting person's spouse, which includes 902 shares previously reported as directly held by the reporting person's spouse on the last filed Form 4.

Date

- (2) Includes shares allocated to the ESOP account of the reporting person's spouse since the last filed Form 4.
- (3) The options are vesting at a rate of 20% per year commencing on May 9, 2019.
- (4) The options vested at a rate of 20% per year commencing on May 8, 2014.

Reporting Owners 2

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