### Edgar Filing: Levcap Alternative Fund, L.P. - Form 4

Levcap Alternative Fund, L.P. Form 4 October 15, 2018 FORM 4

#### OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549 Number: Check this box

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

if no longer

subject to

Section 16.

Form 4 or

Form 5

1(b).

* 5			2. Issuer Name <b>and</b> Ticker or Trading Symbol FLUIDIGM CORP [FLDM]				-	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			(Check all applicable)				
			(Month/Day/Year) 10/11/2018					Director 10% Owner Officer (give titleX Other (specify below) Passive Investor		
			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person		
NEW YO	RK, NY 10022							_X_ Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Та	ble I - No	n-Derivati	ve Sec	curities Ac	quired, Disposed	of, or Benef	icially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	Code (Instr. 8)	4. Securi on(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock (1) (2)	10/11/2018			Р	53	A	\$ 7.4399	11,302,153	I	By: Managed Accounts of Levin Capital Strategies, L.P. (3) (4)
Common Stock (1) (2)	10/12/2018			Р	18	A	\$ 7.4499	11,302,171	I	By: Managed Accounts of Levin Capital Strategies, L.P. (3) (4)
Common Stock (1)								95,606	Ι	By: Bi-Directional

3235-0287

January 31,

2005

0.5

Expires:

response...

Estimated average

burden hours per

(2)			Disequilibrium Fund, L.P. <u>(6)</u>
Common Stock (1) (2)	1,305,269	I	By: Transamerica Large Cap Value Fund <u>(5)</u>
$\begin{array}{c} \text{Common} \\ \text{Stock} \\ \underline{(1)} \\ \underline{(2)} \end{array}$	56,820	I	By: Levcap Alternative Fund, L.P. (7)
$\begin{array}{c} \text{Common} \\ \text{Stock} \ \underline{(1)} \\ \underline{(2)} \end{array}$	19,818	I	By: Safinia Partners, L.P. (8)
Common Stock (1) (2)	5,000	I	By: Spouse of John A. Levin

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	7. Title Amoun Underly Securit (Instr. 3	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
LEVIN CAPITAL STRATEGIES, L.P. 595 MADISON AVENUE 17TH FLOOR		Х		Passive Investor		

NEW YORK, NY 10022					
Levin Capital Strategies GP, LLC 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022	Х	Passive Investor			
Bi-Directional Disequilibrium Fund, L. 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022	P. X	Passive Investor			
Levcap Alternative Fund, L.P. 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022	Х	Passive Investor			
LCS Event Partners, LLC 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022	Х	Passive Investor			
Safinia Partners, L.P. 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022	Х	Passive Investor			
LCS L/S, LLC 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10022	Х	Passive Investor			
LEVIN JOHN A 595 MADISON AVENUE 17TH FLOOR NEW YORK, NY 10020	Х	Passive Investor			
Signatures					
Levin Capital Strategies, L.P.; By: /s/ J	ohn A. Levin, Chief Executiv	ve Officer	10/15/2018		
<u>**</u> Sig	Date				
Levin Capital Strategies GP, LLC; By: John A. Levin 2005 GRAT Separation Trust; By: /s/ Elisabeth Levin, Trustee					
<u>**</u> Sig	nature of Reporting Person		Date		
Bi-Directional Disequilibrium Fund, L Member	10/15/2018				
<u>**</u> Sig	Date				
Levcap Alternative Fund, L.P.; By: LC Managing Member	10/15/2018				
<u>**</u> Sig	Date				
LCS Event Partners, LLC; By: /s/ John	10/15/2018				

\*\*Signature of Reporting Person

Date

Safinia Partners, L.P.; By: LCS L/S, LLC; By: /s/ John A. Levin, Managing Member					
**Signature of Reporting Person	Date				
LCS L/S, LLC; By: /s/ John A. Levin, Managing Member					
**Signature of Reporting Person	Date				
/s/ John A. Levin	10/15/2018				
**Signature of Reporting Person	Date				

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by Levin Capital Strategies, L.P. ("LCS"), Levin Capital Strategies GP, LLC ("LCS GP"), Bi-Directional Disequilibrium Fund, L.P. ("BDD")(formerly known as Levin Capital Trilogy Master Fund, Ltd.), Levcap Alternative Fund, L.P. ("Levcap"), LCS Event Partners, LLC ("LCSEP"), Safinia Partners, L.P. ("Safinia"), LCS L/S, LLC ("LCSLS"), and John A. Levin

(1) (Devap), Des Event Fathers, EDC (DesEF), samma Fathers, E.F. (Samma), Des E.S. EDC (DesES), and John A. Devin (collectively, the "Reporting Persons"). The Reporting Persons are passive investors in the Issuer and are voluntarily filing this Form 4 as a result of acquiring over 20% of the Issuer's outstanding shares of Common Stock. The Reporting Persons have not acquired the shares with a purpose or effect of changing or influencing control of the Issuer.

Pursuant to Rule 16a-1(a)(4) under the Securities Exchange Act of 1934, as amended (the "Exchange Act"), this filing shall not be construed as an admission that the Reporting Persons are, for purposes of Section 16 of the Exchange Act or otherwise, the beneficial

(2) owners of any of the securities reported herein under Section 16 or otherwise. Each of the Reporting Persons specifically disclaims beneficial ownership of the securities reported herein that are not directly owned by such Reporting Person, except to the extent of their pecuniary interest therein.

Shares held in certain separately managed accounts (the "Managed Accounts"). LCS, as the investment manager to the Managed Accounts, may be deemed to beneficially own such shares. LCS does not have a reportable pecuniary interest under Section 16a-1(a)(2) of the Exchange Act in the shares held in the Managed Accounts, as LCS receives an asset-based management fee for serving as

- (3) investment manager to the Managed Accounts, except that with respect to 127,460 shares held in the Managed Accounts, LCS receives a performance-based incentive fee. The performance-based incentive fee LCS receives also does not constitute a reportable pecuniary interest under Section 16a-1(a)(2) of the Exchange Act, as such fee is based on the performance of the overall account, over a period of one year or more, and the shares of the Issuer held in such accounts constitute no more than 10% of the market value thereof.
- (4) For the reasons set forth in footnote 3, none of LCS GP, BDD, Levcap, LCSEP, Safinia, LCSLS and Mr. Levin have a reportable pecuniary interest in the shares held in the Managed Accounts.

Shares held by the separate managed account Transamerica Large Cap Value Fund ("Transamerica"). LCS, as the sub-investment advisor to Transamerica, may be deemed to share voting and dispositive power over the shares held by Transamerica. LCS receives an

- (5) asset-based management fee for serving as sub-investment advisor to Transamerica. Accordingly, LCS does not have a reportable pecuniary interest in the shares held by Transamerica. In addition, none of LCS GP, BDD, Levcap, LCSEP, Safinia, LCSLS and Mr. Levin have a reportable pecuniary interest in the shares held by Transamerica.
- Shares owned directly by BDD. LCS, as the investment advisor of BDD, may be deemed to share voting and dispositive power over the(6) shares owned directly by BDD. Mr. Levin, as the Chief Executive Officer of LCS, may be deemed to share voting and dispositive power over the shares owned directly by BDD.
- (7) Shares owned directly by Levcap. LCSEP, as the general partner of Levcap, may be deemed to share voting and dispositive power over the shares owned directly by Levcap. LCS, as the investment advisor of Levcap, may be deemed to share voting and dispositive power over the shares owned directly by Levcap. Mr. Levin, as the Chief Executive Officer of LCS, may be deemed to share voting and dispositive power dispositive power over the shares owned directly by Levcap.

Shares owned directly by Safinia. LCSLS, as the general partner of Safinia, may be deemed to share voting and dispositive power over the shares owned directly by Safinia. LCS, as the investment advisor of Safinia, may be deemed to share voting and dispositive power

- (8) the shares owned directly by Safinia. LCS, as the investment advisor of Safinia, may be deemed to share voting and dispositive power over the shares owned directly by Safinia. Mr. Levin, as the Chief Executive Officer of LCS, may be deemed to share voting and dispositive power over the shares owned directly by Safinia.
- (9) Shares owned directly by the spouse of Mr. Levin. Mr. Levin has investment discretion over such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.