

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

SWISS HELVETIA FUND INC

Form NSAR-A

August 29, 2001

PAGE 1
000 A000000 06/30/2001
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE SWISS HELVETIA FUND, INC.
001 B000000 811-5128
001 C000000 2123322760
002 A000000 1270 AVENUE OF THE AMERICAS, SUITE 400
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10020
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 HOTTINGER CAPITAL CORP.
008 B000001 A
008 C000001 801-30025
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10020
010 A000001 INVESTMENT COMPANY CAPITAL CORP.
010 B000001 8-194
010 C010001 BALTIMORE
010 C020001 MD
010 C030001 21202
011 A000001 DELETE
012 A000001 PFPC, INC.
012 B000001 85-11196
012 C010001 PROVIDENCE
012 C020001 MA
012 C030001 02940
012 C040001 3027
013 A000001 DELOITTE & TOUCHE, LLP
PAGE 2
013 B010001 PRINCETON
013 B020001 NJ
013 B030001 08540
015 A000001 SWISS AMERICAN SECURITIES, INC.
015 B000001 C
015 C010001 NEW YORK

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

015 C020001 NY
 015 C030001 10017
 015 E040001 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 VONTOBEL SECURITIES, LTD.
 020 C000001 60
 020 A000002 UNION BANK OF SWITZERLAND
 020 C000002 47
 020 A000003 PICTET OVERSEAS, INC.
 020 C000003 38
 020 A000004 AURBACH GRAYSON
 020 C000004 35
 020 A000005 LOMBARD ODIER
 020 C000005 28
 020 A000006 DEUTSCHE MORGAN GRENFELL
 020 C000006 23
 020 A000007 HOTTINGER & CIE
 020 C000007 9
 020 A000008 JPP EUROSECURITIES
 020 C000008 7
 020 A000009 MERRILL LYNCH
 020 B000009 13-5674085
 020 C000009 4
 020 A000010 BEAR (JULUIS) SECURITIES, INC.
 020 C000010 3
 021 000000 251
 022 C000001 0
 022 D000001 0
 022 A000002 VONTOBEL SECURITIES, INC.
 022 C000002 0
 022 D000002 1801
 022 C000003 0
 022 D000003 0
 022 C000004 0
 022 D000004 0
 022 C000005 0
 022 D000005 0
 022 C000006 0
 022 D000006 0
 022 C000007 0
 022 D000007 0
 022 C000008 0
 022 D000008 0
 PAGE 3
 022 C000009 0
 022 D000009 0
 022 C000010 0
 022 D000010 0
 023 C000000 0
 023 D000000 1801
 024 000000 Y
 025 A000001 DELETE
 025 D000001 0
 025 A000002 JULIUS BAER HOLDINGS LTD.
 025 B000002 13-6174048
 025 C000002 E
 025 D000002 2117
 025 A000003 UBS AG
 025 C000003 E
 025 D000003 20785

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

| | | | |
|-----|---------|------------------------|-----|
| 025 | A000004 | VONTOBEL HOLDINGS LTD. | |
| 025 | C000004 | E | |
| 025 | D000004 | | 778 |
| 025 | D000005 | | 0 |
| 025 | D000006 | | 0 |
| 025 | D000007 | | 0 |
| 025 | D000008 | | 0 |
| 026 | A000000 | N | |
| 026 | B000000 | Y | |
| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| | PAGE | 4 | |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

| | | |
|-----|---------|--------|
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.000 |
| 048 | A010000 | 60000 |
| 048 | A020000 | 1.000 |
| 048 | B010000 | 40000 |
| 048 | B020000 | 0.900 |
| 048 | C010000 | 100000 |
| 048 | C020000 | 0.800 |
| 048 | D010000 | 100000 |
| 048 | D020000 | 0.700 |
| 048 | E010000 | 100000 |
| 048 | E020000 | 0.650 |
| 048 | F010000 | 100000 |
| 048 | F020000 | 0.600 |
| 048 | G010000 | 100000 |
| 048 | G020000 | 0.550 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| | PAGE | 5 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 600000 |
| 048 | K020000 | 0.500 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | Y |
| 054 | B000000 | N |
| 054 | C000000 | N |
| 054 | D000000 | N |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | N |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | Y |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | N |
| 055 | B000000 | N |
| 056 | 000000 | N |
| 057 | 000000 | N |
| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | N |
| 060 | B000000 | N |
| 061 | 000000 | 0 |
| 062 | A000000 | N |

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0

PAGE 6

062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 B000000 N
066 C000000 Y
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 Y
069 000000 N
070 A010000 N
070 A020000 N
070 B010000 N
070 B020000 N
070 C010000 N
070 C020000 N
070 D010000 N
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
070 G020000 N
070 H010000 N
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 N
070 J020000 N
070 K010000 N
070 K020000 N
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 Y
070 O010000 N
070 O020000 N
070 P010000 N

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

070 P020000 N
 070 Q010000 N
 070 Q020000 N
 070 R010000 N
 070 R020000 N

PAGE 7

071 A000000 54124
 071 B000000 55760
 071 C000000 357564
 071 D000000 15
 072 A000000 6
 072 B000000 5
 072 C000000 3311
 072 D000000 0
 072 E000000 33
 072 F000000 1445
 072 G000000 154
 072 H000000 0
 072 I000000 35
 072 J000000 71
 072 K000000 0
 072 L000000 67
 072 M000000 159
 072 N000000 0
 072 O000000 37
 072 P000000 0
 072 Q000000 58
 072 R000000 24
 072 S000000 260
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 67
 072 X000000 2377
 072 Y000000 0
 072 Z000000 972
 072AA000000 21539
 072BB000000 0
 072CC010000 0
 072CC020000 112513
 072DD010000 0
 072DD020000 0
 072EE000000 4016
 073 A010000 0.0000
 073 A020000 0.0000
 073 B000000 0.1700
 073 C000000 0.0000
 074 A000000 5789
 074 B000000 0
 074 C000000 0
 074 D000000 0
 074 E000000 0
 074 F000000 324005
 074 G000000 0
 074 H000000 0
 074 I000000 0
 074 J000000 0

PAGE 8

074 K000000 0
 074 L000000 3580
 074 M000000 7
 074 N000000 333381

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-A

| | | |
|-----------|----------------|------------------------------|
| 074 | O000000 | 0 |
| 074 | P000000 | 0 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 409 |
| 074 | S000000 | 0 |
| 074 | T000000 | 332972 |
| 074 | U010000 | 23998 |
| 074 | U020000 | 0 |
| 074 | V010000 | 13.88 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 1102 |
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 370487 |
| 076 | 000000 | 11.63 |
| 077 | A000000 | Y |
| 077 | C000000 | Y |
| 077 | E000000 | Y |
| 077 | Q010000 | Y |
| 078 | 000000 | N |
| 080 | A000000 | ICI MUTUAL INSURANCE COMPANY |
| 080 | C000000 | 750000 |
| 081 | A000000 | N |
| 081 | B000000 | 0 |
| 082 | A000000 | N |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | SWISS HELVETIA FUND, INC. |
| 087 | A020000 | 870875101 |
| 087 | A030000 | SWZ |
| | PAGE | 9 |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | CHARLES RIZZO | |
| TITLE | VICE PRESIDENT | |