Spectrum Brands Holdings, Inc.

Form 4

November 29, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

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Form 4 or Form 5 obligations may continue. See Instruction

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

Common

Common

Stock

Stock

11/25/2016

11/25/2016

(Print or Type Responses)

1. Name and Address of Reporting Person * FAGRE NATHAN E			2. Issuer Name and Ticker or Trading Symbol Spectrum Brands Holdings, Inc. [SPB]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
	(First) RUM BRANDS S, INC., 3001 D	3. Date of Earliest Transaction (Month/Day/Year) 11/25/2016					Director 10% Owner Self-cert (give title Other (specify below) SVP, GC and Secretary				
MIDDLETO (City)	4. If Amendment, Date Original Filed(Month/Day/Year)				ios Acar	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person uired, Disposed of, or Beneficially Owned					
1.Title of Security (Instr. 3)	tle of 2. Transaction Date 2A. Deemed urity (Month/Day/Year) Execution Date, if		ned n Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			quired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	

2,474

1,228

D

(1)

(2)

Α

F

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

29,382

28,154

D

D

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	f 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	e Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities	S		(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
							Expiration Date	T:41-	or Namel		
									Number		
				C-1- V	(A) (D)				of		
				Code v	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

Officer Other Director 10% Owner

**FAGRE NATHAN E** C/O SPECTRUM BRANDS HOLDINGS, INC. 3001 DEMING WAY MIDDLETON, WI 53562

SVP, GC and Secretary

### **Signatures**

/s/ Nathan E. 11/29/2016 Fagre

Date \*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares represent the cash value of the Management Incentive Award under the Issuer's 2016 Management Incentive Plan.
- Represents shares of the Issuer's common stock withheld to satisfy Mr. Fagre's tax withholding obligation resulting from the grant of restricted stock under the Issuer's Management Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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