

Edgar Filing: EASTMAN KODAK CO - Form 4

EASTMAN KODAK CO  
Form 4  
December 03, 2002

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OMB APPROVAL  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

[ ] Check this box if no longer subject of Section 16. Form 4 or Form 5  
obligations may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

|                       |                  |          |
|-----------------------|------------------|----------|
| Smith-Pilkington      | Karen            | A.       |
| -----                 | -----            | -----    |
| (Last)                | (First)          | (Middle) |
| Eastman Kodak Company | 343 State Street |          |
| -----                 | -----            | -----    |
|                       | (Street)         |          |
| Rochester             | New York         | 14650    |
| -----                 | -----            | -----    |
| (City)                | (State)          | (Zip)    |

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2. Issuer Name and Ticker or Trading Symbol

Eastman Kodak Company ("EK")  
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3. IRS or Social Security Number of Reporting Person (Voluntary)

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4. Statement for Month/Day/Year

12/02/02  
=====

5. If Amendment, Date of Original (Month/Day/Year)

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6. Relationship of Reporting Person to Issuer

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(Check all applicable)

[ ] Director [ ] 10% Owner
[ X ] Officer (give title below) [ ] Other (specify below)

President, Kodak Professional, and Vice President, Eastman Kodak Company

7. Individual or Joint/Group Filing (Check applicable line)

[ X ] Form filed by one Reporting Person
[ ] Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table with 7 columns: 1. Title of Security (Instr. 3), 2. Transaction Date (mm/dd/yy), 2.A Deemed Execution Date, if any (mm/dd/yy), 3. Transaction Code (Instr. 8), 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5), Amount, or Price. Row 1: Common Stock (1), 12/02/02, A, 5,000, A, 0.

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Table with 10 columns: 1. Title of Derivative Security (Instr. 3), 2. Conversion or Exercise Price of Derivative Security, 3. Transaction Date (Month/Day/Year), 3A. Deemed Execution Date (Month/Day/Year) if any, 4. Transaction Code (Instr. 8) and Code V, 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5), 6. Exercisable and Expiration Date (Month/Day/Year), 7. Title and Amount of Underlying Securities (Instr. 3 and 4), Amount or Number of Shares.

| 1.                                      | 2.  | 3.                                | 3A.   | 4.                                     | 5.  | 6.   | 7.   | Amount or Number of Shares |
|---|---|-----------------------------------|---|--|---|--|--|----------------------------|
| Title of Derivative Security (Instr. 3) | Conversion or Exercise Price of Derivative Security | Transaction Date (Month/Day/Year) | Deemed Execution Date (Month/Day/Year) if any | Transaction Code (Instr. 8) and Code V | Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | Exercisable and Expiration Date (Month/Day/Year) | Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|   |   |                                   |   |  |   |  |  |                            |
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|   |   |                                   |   |  |   |  |  |                            |
|   |   |                                   |   |  |   |  |  |                            |

Explanation of Responses:

(1) These shares represent restricted stock which vest 50% after 2 years, with the balance vesting after 4 years.

/s/James M. Quinn, as attorney-in-fact  
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\*\*Signature of Reporting Person  
Karen A. Smith-Pilkington

12/02/02  
-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedures.

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Alternatively, this Form is permitted to be submitted to the Commission in electronic format at the option of the reporting person pursuant to rule 101(b)(4) of Regulation S-T.