

Zemba Douglas D.  
Form 3  
July 23, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                     |  |
| Â Zemba Douglas D.                        |         | (Month/Day/Year)                     | ExOne Co [XONE]  |  |
| (Last)                                    | (First) | (Middle)                             | 07/12/2018   |  |
| 127 INDUSTRY BOULEVARD                    |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                       | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street)                                  |         |                                      | (Check all applicable)   |  |
| NORTH                                     |         |                                      | <input type="checkbox"/> Director                                      | <input type="checkbox"/> 10% Owner                   |
| HUNTINGDON,Â PAÂ 15642                    |         |                                      | <input checked="" type="checkbox"/> Officer                            | <input type="checkbox"/> Other                       |
| (City)                                    | (State) | (Zip)                                | (give title below)   | (specify below)                                      |
|   |         |                                      | CFO & Treasurer  |  |
|   |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)             |  |
|   |         |                                      | <input checked="" type="checkbox"/> Form filed by One Reporting Person |  |
|   |         |                                      | <input type="checkbox"/> Form filed by More than One Reporting Person  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 8,834   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of  |   |

Edgar Filing: Zemba Douglas D. - Form 3

|                             |            |            |              | Shares |         | (I)<br>(Instr. 5) |   |
|-----------------------------|------------|------------|--------------|--------|---------|-------------------|---|
| Stock Option (right to buy) | 02/10/2017 | 02/10/2027 | Common Stock | 12,000 | \$ 10.1 | D                 | Â |
| Stock Option (right to buy) | Â (1)      | 08/14/2022 | Common Stock | 25,000 | \$ 7.91 | D                 | Â |
| Stock Option (right to buy) | Â (2)      | 02/07/2023 | Common Stock | 6,667  | \$ 18   | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                   |       |
|--|---------------|-----------|-------------------|-------|
|  | Director      | 10% Owner | Officer           | Other |
| Zemba Douglas D.<br>127 INDUSTRY BOULEVARD<br>NORTH HUNTINGDON, PA 15642 | Â             | Â         | Â CFO & Treasurer | Â     |

## Signatures

/s/ Loretta L. Benec, attorney-in-fact for Douglas D. Zemba 07/23/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The original option grant for 25,000 shares vested with respect to 12,500 shares on August 14, 2017 and will vest with respect to the remaining 12,500 shares on August 14, 2018.
- (2) The original option grant for 10,000 shares vested with respect to 3,333 shares on February 7, 2014, an additional 3,333 shares on February 7, 2015 and the remaining 3,334 shares on February 7, 2016.

Â

### Remarks:

See attached Exhibit 24 (Power of Attorney).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.