GLUCK FREDERICK W

Form 4 June 15, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Check this box if no longer subject to

Washington, D.C. 20549

Number: 3235-0287

Synings: January 31,

subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Expires:

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Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

See Instruction 1(b).

(Print or Type Responses)

Common

1 Name and Address of Departing D

| 1. Name and A GLUCK FR | 2. Issuer Name and Ticker or Trading Symbol | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
|---|--|---------------|---|--|--------------------------------------|---|-----------|--|--|---|--|
| <i>a</i> s | (T) | 25.11 | CytomX Therapeutics, Inc. [CTMX] | | | | MXJ | (Check all applicable) | | | |
| (Last) (First) (Middle) 743 SAN YSIDRO ROAD | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/13/2018 | | | | | X Director 10% Owner Officer (give title below) Other (specify below) | | | |
| SANTA BA | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
| 1 Title of | 2 Transaction I | Note 24 Dec | | | 4. Securi | | 1105 7110 | • • | | · | |
| 1.Title of Security (Instr. 3) | 2. Transaction I (Month/Day/Ye | ar) Execution | on Date, if /Day/Year) | 3. Transactic Code (Instr. 8) | onAcquired Disposed (Instr. 3, | l (A) o l of (D 4 and (A) or |) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | | | | | | | | 271,643 | I | By Frederick W. Gluck 1997 Family Trust dtd July 28, 1997 (1) | |
| Common Stock | | | | | | | | 22,111 | I | By Richlin Partners, LLC (2) | |

3,200

Ι

By Spouse

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year) | | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|--|--|---|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (Right to Buy) | \$ 25.25 | 06/13/2018 | | A | 14,000 | (3) | 06/12/2028 | Common Stock | 14,000 |

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

GLUCK FREDERICK W

743 SAN YSIDRO ROAD X

SANTA BARBARA, CA 91308

Signatures

/s/ Debanjan Ray, as Attorney-in-Fact for Frederick W. Gluck

06/15/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person is a trustee of Frederick W. Gluck 1997 Family Trust dtd July 28, 1997.
- (2) Richlin Partners, LLC is an entity owned of record by the spouse of the Reporting Person.
- (3) The underlying shares subject to the option vest and become exercisable as to 100% of the total number of shares subject to the option on the earlier of (i) the first anniversary of the grant date or (ii) the date of the 2019 Annual Meeting of the Issuer's stockholders, assuming

Reporting Owners 2

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continuous service as a director until such vesting date.

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