

TC Group Cayman Sub L.P.  
Form 3  
April 20, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>TC GROUP CAYMAN, L.P.</p> <p>(Last) (First) (Middle)</p> <p>C/O WALKERS, CAYMAN CORPORATE CENTER, 27 HOSPITAL ROAD,</p> <p>(Street)</p> <p>GEORGE TOWN GRAND CAYMAN, KY 1-9008</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>03/13/2018</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Seaspan CORP [SSW]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p>____ Director ____X____ 10% Owner ____ Officer ____ Other (give title below) (specify below)</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p>____ Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person</p>
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### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Series D Preferred Shares	1,869,200	I	See footnotes (1) (2) (3) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TC GROUP CAYMAN, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^
TC Group Cayman Sub L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN, GRAND CAYMAN, KY1-9008	^	^ X	^	^
CP V S3 GP, Ltd C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^
TC Group V Cayman S3, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^
Carlyle Partners V Cayman TE, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^
CAP III S3 Ltd C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^
CAP III General Partner S3, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^
CAP III Maritime AIV, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^
Carlyle-Eight Finance Asia Co-Investment Partners, L.P. C/O WALKERS, CAYMAN CORPORATE CENTER 27 HOSPITAL ROAD, GEORGE TOWN GRAND CAYMAN, KY1-9008	^	^ X	^	^

## Signatures

TC Group Cayman L.P., By: Carlyle Holdings III L.P., its general partner, By: /s/ William E. Conway, Jr., Name: William E. Conway, Jr., Title: Co-Executive Chairman & Co-Chief Investment Officer 04/20/2018

\_\_Signature of Reporting Person Date

TC Group Cayman Sub, L.P., By: TC Group Cayman L.P. its general partner, By: Carlyle Holdings III L.P., its general partner, By: /s/ William E. Conway, Jr., Name: William E. Conway, Jr., Title: Co-Executive Chairman & Co-Chief Investment Officer 04/20/2018

\_\_Signature of Reporting Person Date

CP V S3 GP, Ltd., By: /s/ Jeremy W. Anderson, Name: Jeremy W. Anderson, Title: Authorized Person 04/20/2018

\_\_Signature of Reporting Person Date

TC Group V Cayman S3, L.P., By: /s/ Jeremy W. Anderson, Name: Jeremy W. Anderson, Title: Authorized Person 04/20/2018

\_\_Signature of Reporting Person Date

Carlyle Partners V Cayman TE, L.P., By: TC Group V Cayman S3, L.P., its general partner, By: /s/ Jeremy W. Anderson, Name: Jeremy W. Anderson, Title: Authorized Person 04/20/2018

\_\_Signature of Reporting Person Date

CAP III S3 Ltd., By: /s/ William E. Conway, Jr., Name: William E. Conway, Jr., Title: Director 04/20/2018

\_\_Signature of Reporting Person Date

CAP III General Partner S3, L.P., By: /s/ Norma R. Kuntz, Name: Norma R. Kuntz, Title: Authorized Person 04/20/2018

\_\_Signature of Reporting Person Date

CAP III Maritime AIV, L.P., By: CAP III General Partner S3, L.P., its general partner, By: /s/ Norma R. Kuntz, Name: Norma R. Kuntz, Title: Authorized Person 04/20/2018

\_\_Signature of Reporting Person Date

Carlyle-Eight Finance Asia Co-Investment Partners, L.P., By: CAP III General Partner S3, L.P., its general partner, By: /s/ Norma R. Kuntz, Name: Norma R. Kuntz, Title: Authorized Person 04/20/2018

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Includes: (i) 47,028 shares of Series D Preferred Shares held by CP V Coinvestment A Cayman, L.P.; (ii) 9,628 shares of Series D Preferred Shares held by CP V Coinvestment B Cayman, L.P.; (iii) 17,423 shares of Series D Preferred Shares held by CAP III Co-Investment, L.P.; (iv) 1,363,936 shares of Series D Preferred Shares held by Carlyle Partners V Cayman TE, L.P.; (v) 356,417 shares of Series D Preferred Shares held by CAP III Maritime AIV, L.P.; and (vi) 74,768 shares of Series D Preferred Shares held by Carlyle-Eight Finance Asia Co-Investment Partners, L.P.
  - (2) Carlyle Group Management L.L.C. is the general partner of The Carlyle Group L.P., which is a publicly traded entity listed on NASDAQ. The Carlyle Group L.P. is the managing member of each of Carlyle Holdings II GP L.L.C. and Carlyle Holdings III GP Management L.L.C.
  - (3)

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Carlyle Holdings II GP L.L.C. is the general partner of Carlyle Holdings II L.P., which is the general partner of TC Group Cayman Investment Holdings, L.P., which is the general partner of TC Group Cayman Investment Holdings Sub L.P., which is the sole member of each of CP V General Partner, L.L.C. and CAP III, L.L.C. CP V General Partner, L.L.C. is the general partner of TC Group V Cayman, L.P., which is the general partner of each of CP V Coinvestment A Cayman, L.P. and CP V Coinvestment B Cayman, L.P. CAP III, L.L.C. is the general partner of CAP III General Partner, L.P., which is the general partner of CAP III Co-Investment, L.P.

- (4) Carlyle Holdings III GP Management L.L.C. is the general partner of Carlyle Holdings III GP L.P., which is the sole member of Carlyle Holdings III GP Sub L.L.C., which is the general partner of Carlyle Holdings III L.P., which is the general partner of TC Group Cayman L.P., which is the general partner of TC Group Cayman Sub, L.P., which is the sole shareholder of each of CP V S3 GP, Ltd. and CAP III S3 Ltd. CP V S3 GP, Ltd. is the general partner of TC Group V Cayman S3, L.P., which is the general partner of Carlyle Partners V Cayman TE, L.P. CAP III S3 Ltd. is the general partner of CAP III General Partner S3, L.P., which is the general partner of each of CAP III Maritime AIV, L.P. and Carlyle-Eight Finance Asia Co-Investment Partners, L.P.

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### Remarks:

DueÂ toÂ theÂ limitationsÂ ofÂ theÂ electronicÂ filingÂ systemÂ CarlyleÂ GroupÂ ManagementÂ L.L.C.,Â TheÂ Carlyle

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.