Edgar Filing: FOX FACTORY HOLDING CORP - Form 4

FOX FACTORY HOLDING CORP

Form 4 March 13, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Compass Group Diversified

(First)

(State)

03/13/2017

Holdings LLC

(Last)

(City)

Common

Stock

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

FOX FACTORY HOLDING CORP

3. Date of Earliest Transaction

[FOXF]

(Month/Day/Year) 03/13/2017

(Check all applicable)

Director X 10% Owner Other (specify Officer (give title

301 RIVERSIDE

AVENUE, SECOND FLOOR

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

Code V

S

6. Individual or Joint/Group Filing(Check

Applicable Line)

5. Amount of

X Form filed by One Reporting Person Form filed by More than One Reporting

6.

WESTPORT, CT 06880

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) (Instr. 3) Code (Month/Day/Year) (Instr. 8)

(Zip)

(Middle)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) (Instr. 3, 4 and 5)

Securities Ownership Beneficially Form: Owned Direct (D) or Indirect Following Reported (I) Transaction(s) (Instr. 4)

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) or Amount (D) Price 5,108,718 \$

D

(Instr. 3 and 4)

0

26.65

 $D^{(2)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

Edgar Filing: FOX FACTORY HOLDING CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			ate	7. Title Amoun Under	int of lying ities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene
	Derivative Security				Securities Acquired			(Instr.	3 and 4)		Owne Follo
					(A) or Disposed						Repo Trans
					of (D) (Instr. 3, 4, and 5)						(Instr
					4, and 3)				Amount		
						Date Exercisable	Expiration Date	Title	or Number of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
Topological Common Common	Director	10% Owner	Officer	Other		
Compass Group Diversified Holdings LLC 301 RIVERSIDE AVENUE SECOND FLOOR WESTPORT, CT 06880		X				

Signatures

/s/ Ryan J. Faulkingham, Chief Financial Officer

03/13/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On March 13, 2017, the Issuer closed on a secondary public offering (the "Offering") of 5,108,718 shares of Issuer's common stock held by Reporting Person, with a price to the underwriter, Merrill Lynch, Pierce, Fenner & Smith Incorporated, of \$26.65 per share.
- (2) Compass Diversified Holdings, a Delaware statutory trust, is the parent of Compass Group Diversified Holdings LLC. All shares of the common stock of the Issuer listed on Table I hereto are held by Compass Group Diversified Holdings LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2