

Quotient Ltd  
Form 4  
November 02, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
von Prondzynski Heino

(Last) (First) (Middle)

C/O QUOTIENT  
LIMITED, ELIZABETH HOUSE, 9  
CASTLE STREET

(Street)

ST HELIER, Y9 JE2 3RT

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Quotient Ltd [QTNT]

3. Date of Earliest Transaction  
(Month/Day/Year)

10/31/2016

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Ordinary Shares	10/31/2016		M		1,291	A	<u>11</u> 31,225

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: Quotient Ltd - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	(1)							(2)	(2)	Ordinary Shares	25,000
Restricted Stock Units	(1)							(3)	(3)	Ordinary Shares	4,933
Ordinary Share Option (Right to Buy)	\$ 11.62							(4)	10/31/2025	Ordinary Shares	4,303
Restricted Stock Units	(1)	10/31/2016		M		1,291		(5)	(5)	Ordinary Shares	1,291
Restricted Stock Units	(1)							(6)	(6)	Ordinary Shares	15,220
Ordinary Share Option (Right to Buy)	\$ 5.73	10/31/2016		A		8,726		(7)	10/31/2026	Ordinary Shares	8,726
Restricted Stock Units	(1)	10/31/2016		A		5,236		(8)	(8)	Ordinary Shares	5,236

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
von Prondzynski Heino C/O QUOTIENT LIMITED ELIZABETH HOUSE, 9 CASTLE STREET ST HELIER, Y9 JE2 3RT	X

## Signatures

/s/ Heino von  
Prondzynski

11/02/2016

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted Stock Units convert into ordinary shares on a one-for-one basis.

On September 15, 2014, the reporting person was granted 50,000 restricted stock units, of which 25,000 restricted stock units have vested and been exercised. The remaining 25,000 restricted stock units will vest in two equal annual installments beginning on September 4, 2017.

(3) On September 4, 2015, the reporting person was granted 9,867 restricted stock units, of which 4,934 restricted stock units have vested and been exercised. The remaining 4,933 restricted stock units will vest on September 4, 2017.

(4) 1,434 options vested on October 31, 2016 and remain exercisable, 1,434 options will vest on October 31, 2017, 1,435 options will vest on October 31, 2018.

(5) On October 31, 2015, the reporting person was granted 2,582 restricted stock units, of which 1,291 restricted stock units have vested and been exercised. The remaining 1,291 restricted stock units will vest on October 31, 2017.

(6) On September 4, 2016, the reporting person was granted 15,226 restricted stock units, vesting in two equal installments on September 4, 2017 and September 4, 2018.

(7) The options vest and become exercisable in three equal annual installments beginning October 31, 2017.

(8) On October 31, 2016, the reporting person was granted 5,236 restricted stock units, vesting in two equal installments on October 31, 2017 and October 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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