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Item 5.07 Submission of Matters to a Vote of Security Holders

The Annual Meeting of Hennessy Advisors, Inc. was held on February 12, 2019. At the Annual Meeting, the following directors were elected for terms expiring at the annual meeting of shareholders to be held in 2020 by the votes indicated:

	For	Withheld	Broker Nonvotes
Neil J. Hennessy	4,258,710	126,338	2,545,095
Teresa M. Nilsen	4,306,286	78,762	2,545,095
Daniel B. Steadman	4,300,440	84,608	2,545,095
Henry Hansel	4,304,183	80,865	2,545,095
Brian A. Hennessy	4,244,496	140,552	2,545,095
Daniel G. Libarle	4,086,325	298,723	2,545,095
Rodger Offenbach	4,084,925	300,123	2,545,095
Susan W. Pomilia	4,134,845	250,203	2,545,095
Thomas L. Seavey	4,083,492	301,556	2,545,095

The following reflects the voting results for matters other than the election of directors brought for vote at the Annual Meeting:

	For	Against	Abstained	Broker Nonvotes
Ratification of the selection of Marcum LLP as the independent registered public accounting firm for Hennessy Advisors, Inc. for fiscal year 2019	6,673,342	39,023	217,778	0

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

February 15, 2019  
Teresa M. Nilsen  
President

By: /s/ Teresa M. Nilsen