

Edgar Filing: NEUBERGER BERMAN INC - Form 15-12B

NEUBERGER BERMAN INC  
Form 15-12B  
January 06, 2004

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
OMB Number: 3235-0167  
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FORM 15

CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION UNDER SECTION  
12(g) OF THE SECURITIES EXCHANGE ACT OF 1934 OR SUSPENSION OF DUTY TO FILE  
REPORTS UNDER SECTIONS 13 AND 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934.

Commission File Number 001-15361

Neuberger Berman Inc.

(Exact name of registrant as specified in its charter)

605 Third Avenue, New York, New York 10158, (212) 476-9000

(Address, including zip code, and telephone number, including area code, of  
registrant's principal executive offices)

Common Stock, \$.01 par value

(Title of each class of securities covered by this Form)

Liquid Yield Option TM Notes Due 2021 (Zero Coupon-Senior)

(Titles of all other classes of securities for which a duty to file reports  
under section 13(a) or 15(d) remains)

Please place an X in the box(es) to designate the appropriate rule  
provision(s) relied upon to terminate or suspend the duty to file reports:

Rule 12g-4(a)(1)(i)	/x/	Rule 12h-3(b)(1)(i)	/x/
Rule 12g-4(a)(1)(ii)	/ /	Rule 12h-3(b)(1)(ii)	/ /
Rule 12g-4(a)(2)(i)	/ /	Rule 12h-3(b)(2)(i)	/ /
Rule 12g-4(a)(2)(ii)	/ /	Rule 12h-3(b)(2)(ii)	/ /
		Rule 15d-6	

Approximate number of holders of record as of the certification or notice  
date: one

Pursuant to the requirements of the Securities Exchange Act of 1934,  
Neuberger Berman Inc. has caused this certification/notice to be signed on its  
behalf by the undersigned duly authorized person.

Date: January 5, 2004

By: /s/ Maxine L. Gerson

Maxine L. Gerson

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Instruction: This form is required by Rules 12g-4, 12h-3 and 15d-6 of the General Rules and Regulations under the Securities Exchange Act of 1934. The registrant shall file with the Commission three copies of Form 15, one of which shall be manually signed. It may be signed by an officer of the registrant, by counsel or by any other duly authorized person. The name and title of the person signing the form shall be typed or printed under the signature.