

Edgar Filing: ARV ASSISTED LIVING INC - Form 4

ARV ASSISTED LIVING INC
 Form 4
 November 14, 2001

 FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|--|------------|----------|--|--|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | 6. R |
| PASQUALE | DOUGLAS | M. | ARV ASSISTED LIVING, INC. (SRS) | t |
| (Last) | (First) | (Middle) | 3. IRS Identification Number of Reporting Person, if an entity (Voluntary) | 4. Statement for Month/Year |
| 245 FISCHER AVENUE, SUITE D-1 | | | | OCTOBER, 2001 |
| | (Street) | | | 5. If Amendment, Date of Original (Month/Year) |
| COSTA MESA | CALIFORNIA | 92626 | | |
| (City) | (State) | (Zip) | | 7. I |

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

| 1. Title of Security (Instr. 3) | 2. Trans- action Date (Month/ Day/ Year) | 3. Trans- action Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at End of Mo (Instr. 3) |
|---------------------------------|---|---|---|---|
| | | Code V | Amount (A) or Price (D) | |
| COMMON STOCK | 10/24/01 | P | 2,000 A \$1.23 | 99,500 |
| COMMON STOCK | 10/25/00 | P | 200 A \$1.35 | 99,500 |
| COMMON STOCK | 10/29/01 | P | 2,800 A \$1.23 | 99,500 |
| COMMON STOCK | | | | 10,500 |

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Explanation of Responses: (1) OPTION GRANTED ON JUNE 1, 1998 FOR 35,936 SHARES AT
A STRIKE PRICE OF \$11.13 WAS SURRENDERED VOLUNTARILY
TO THE COMPANY.

OPTION GRANTED ON JUNE 1, 1998 FOR 114,064 SHARES AT
A STRIKE PRICE OF \$11.13 WAS SURRENDERED VOLUNTARILY
TO THE COMPANY.

**Intentional misstatements or omissions of facts constitute Federal
Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ DOUGLAS M.

**Signature of Reporter

Note: File three copies of this Form, one of which must be manually signed.
If space is insufficient, see Instruction 6 for procedure.

Douglas

Potential persons who are to respond to the collection of information contained in this form are
not required to respond unless the form displays a currently valid OMB Number.