

KRABLIN STEVEN W  
Form 4  
October 04, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KRABLIN STEVEN W**

(Last) (First) (Middle)

**C/O CHART INDUSTRIES,  
INC., ONE INFINITY  
CORPORATE CENTRE DRIVE**

(Street)

**GARFIELD HEIGHTS, OH 44125**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

**CHART INDUSTRIES INC [GTLIS]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**10/02/2017**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)                         | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|   |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common stock, par value \$0.01 per share <sup>(1)</sup> | 10/02/2017                           |  | A                              |   | 636   | A  | \$ 0                              |
|   |                                      |  |                                |   | 20,301 <sup>(2)</sup>   | D  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| KRABLIN STEVEN W<br>C/O CHART INDUSTRIES, INC.<br>ONE INFINITY CORPORATE CENTRE DRIVE<br>GARFIELD HEIGHTS, OH 44125 |               | X         |         |       |

## Signatures

/s/ Steven W. Krablin, by Arthur C. Hall III, his attorney-in-fact 10/04/2017

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These securities were granted on October 2, 2017, in an exempt transaction, pursuant to the terms of stock award agreements under the
- (1) Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity Plan and the 2017 Omnibus Equity Plan, and deferred under the stock award agreements until a future date.
  - (2) Includes 14,369 shares which have been deferred. 5,782 of the shares were granted by the Issuer under the Amended and Restated Chart Industries, Inc. 2005 Stock Incentive Plan, 8,556 of the shares were granted by the Issuer under the Chart Industries, Inc. Amended and Restated 2009 Omnibus Equity Plan, and 31 of the shares were granted by the Issuer under the Chart Industries, Inc. 2017 Omnibus Equity Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.