Qumu Corp Form 8-K May 02, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (date of earliest event reported): May 1, 2018

Qumu Corporation (Exact name of Registrant as Specified in its Charter)

Minnesota (State Or Other Jurisdiction Of Incorporation)

000-20728 41-1577970

(Commission File Number) (I.R.S. Employer Identification No.)

510 1st Avenue North, Suite 305

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Minneapolis, MN 55403 (Address Of Principal Executive Offices) (Zip Code)

(612) 638-9100 Registrant's Telephone Number, Including Area Code

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- o Written communications pursuant to Rule 425 under the Securities Act
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934. o

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. o

Items under Sections 1 and 3 through 8 are not applicable and therefore omitted.

## ITEM 2.02 RESULTS OF OPERATIONS AND FINANCIAL CONDITION.

Qumu Corporation (the "Company") hereby furnishes as Exhibit 99.1 a press release issued on May 1, 2018 disclosing material non-public information regarding its results of operations for the quarter ended March 31, 2018 and hereby furnishes as Exhibit 99.2 statements of Vern Hanzlik, its President and Chief Executive Officer, and David Ristow, its Chief Financial Officer, made on May 2, 2018 at a telephone conference relating to the quarter ended March 31, 2018 results.

ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS.

Exhibit

No. Description

99.1 Press Release issued on May 1, 2018.

99.2 Statements of Vern Hanzlik, President and Chief Executive Officer, and David Ristow, Chief Financial Officer, at a telephone conference held on May 2, 2018.

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

## **QUMU CORPORATION**

By:/s/ David G. Ristow David G. Ristow Chief Financial Officer

Date: May 2, 2018