### Edgar Filing: Overman Steven - Form 4

Overman Ste Form 4 October 03, 2										
FORM	Л									PPROVAL
	• • UNITED S	TATES		ITIES Al hington,			NGE (	COMMISSION	OMB Number:	3235-0287
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	GES IN I SECUR	BENEFI ITIES Securiti ing Com	Lanuary 31Expires:2005Estimated averageburden hours perresponse0.5							
(Print or Type I	Responses)									
1. Name and A Overman St	Address of Reporting P even	erson <u>*</u>	Symbol	Name and			-	5. Relationship of Issuer	Reporting Per	son(s) to
(Last)	(First) (M	iddle)		AN KOD Earliest Tra		[KO	DKJ	(Chec	k all applicable	e)
EASTMAN		,	(Month/Da 10/01/20	ay/Year)	lisaction			Director X Officer (give below) Senio		o Owner er (specify nt
DOCUEST	(Street)			ndment, Dat th/Day/Year)	-			6. Individual or Jo Applicable Line) _X_ Form filed by 0 Form filed by N		erson
	ER, NY 14650	<b></b>						Person		1 0
(City)	(State) (Z	Zip)	Table	e I - Non-D	erivative S	Securi	ities Acq	uired, Disposed of	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8) Code V	on(A) or Di (D) (Instr. 3,	4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01	10/01/2017			М	7,692	A	\$ 0 (1)	19,667	D	
Common Stock, par value \$.01	10/01/2017			F	3,616 (2)	D	\$ 7.35	16,051	D	
Common Stock, par value \$.01	10/01/2017			М	3,773	А	\$ 0 (3)	19,824	D	
Common	10/01/2017			F	1,774	D	\$	18,050	D	

(2)

Stock, par

7.35

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value \$.01							
Common Stock, par value \$.01	10/01/2017	М	3,888	А	\$ 0 (4)	21,938	D
Common Stock, par value \$.01	10/01/2017	F	1,828 (2)	D	\$ 7.35	20,110	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	\$ 0 <u>(1)</u>	10/01/2017		М		7,692	<u>(1)</u>	10/01/2017	Common Stock, par value \$.01	7,69
Restricted Stock Units	\$ 0 <u>(3)</u>	10/01/2017		М		3,773	<u>(3)</u>	10/01/2018	Common Stock, par value \$.01	3,77
Restricted Stock Units	\$ 0 <u>(4)</u>	10/01/2017		М		3,888 (4)	<u>(4)</u>	10/01/2019	Common Stock, par value \$.01	3,88
Restricted Stock Units	\$ 0 <u>(5)</u>	10/01/2017		А	23,810		(5)	10/02/2020	Common Stock, par value \$.01	23,8
Stock Option (Right to Buy)	\$ 15.46						<u>(6)</u>	09/30/2022	Common Stock, par value \$.01	27,09

Stock Option (Right to Buy)	\$ 15					(7)	09/30/2023	Common Stock, par value \$.01	30,00
Stock Option (Right to Buy)	\$ 7.35	10/01/2017	1	A	58,923	(8)	09/30/2024	Common Stock, par value \$.01	58,91

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Overman Steven EASTMAN KODAK COMPANY 343 STATE STREET ROCHESTER, NY 14650			Senior Vice President				
Signatures							

/s/ Karen M. Kelly, Attorney-in-fact for Steven Overman

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

10/03/2017

Date

- (1) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 10/1/2014 grant date.
- (2) Shares withheld to cover withholding obligations upon vesting of restricted stock units.
- $(3) \frac{\text{These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 10/1/2015 grant date.}$
- (4) These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on each of the first three anniversaries of the 10/1/2016 grant date.
- (5) These restricted stock units, which convert into common stock on a one-for-one basis, were granted under the Company's 2013 Omnibus Incentive Plan in a transaction exempt under Rule 16b-3 and vest one-third on each of the three anniversaries of the 10/1/2017 grant date.
- (6) This option vests one-third on each of the first three anniversaries of the 10/1/2015 grant date.
- (7) This option vests one-third on each of the first three anniversaries of the 10/1/2016 grant date.
- (8) This option was granted under the Company's 2013 Omnibus Incentive Plan in a transaction exempt under Rule 16b-3 and vests one-third on each of the first three anniversaries of the 10/1/2017 grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.