NEIMAN MARCUS GROUP INC

Form 4

November 22, 2002

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND **EXCHANGE COMMISSION**

Washington, DC 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB

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| (Print or Type Re | sponses) | | | | | | | | | | | |
|--|-----------|---------------------------------------|---|---------------------------------|---|--|---|--|---|---|------|--|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | 6. Relationship of Reporting to Issuer (Check all applica | | | | |
| | | The Neiman Marcus Group, Inc. (NMG.A) | | | | | | Directo | 10% Owner | _ | | |
| FRASCH | RONALD L. | | | | | | X | Office (give bittle below) | Other (specif | у | | |
| | | | | | | | | | Chairman and CEO, Bergdo | | | |
| (Last) | | Number of | lentification of Reporting f an entity ry) | M | 4. Statement for Month/Day/Year November 20, 2002 | | 7. Individual or Joint/Group l (Check Applicable Line) | | | | | |
| 1618 Main Street (Street) | | | | | D | 5. If Amendment, Date of Original (Month/Day/Year) | | X | Form filed by One Re Person | | Rep | |
| | | | | | (N | | | | Form filed by More the Reporting Person | | tha | |
| Dallas | Texas | 75201 | Toble I | Non Don | | O - armiti a a | A | 1 Dia | | T - a Domofini | -11- | |
| (City) | (State) | (Zip) | | — Non-Deri | | Т | | | | 1 | апу | |
| 1. Title of Security (Instr. 3) | y | | 2. Transaction Date | Deemed Execution Date, if | actio Cod | ns4. Securiti on(A) de or Disp str.8)(Instr. 3 | osed of | (D) | of Sec Be Ow | ship ship curit res m: nefi Dinkly t vned(D) or llow limel irect | 7 | |

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|---|--|---|---|------------------------------------|----------------------------|--------------------------------|--|--|---------------------------|-------|----------|---|---|
| | | | (Mon Day, Year) | / Day | y/ | | | | (A) or (D) | | | port (E) ensaction(s) (Instr. 4) str. | |
| Common Stoc | k, Class A | | | | | | | | | | 540 | D | |
| Common Stock, Class A (11/02 PRS) (1) | | 1) 11-20- | 02 11-2 | 0-02 | A | | 2,800 | A | \$15.185 | 2,800 | D | | |
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| FORM 4 (continued) | | | | Table I | | | | | | | | of, or Benefi tible securitie | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/ Day/ Year) | 3A. Deemed Execution Date, if any (Month/ Day/ Year) | 4. Transaction Code (Instr.8 | Secu Ac- qu (A) (| eriv- ive ritie iired | es is- | Expir Date | le and ation th/Day | | Securiti | t of rlying | |

| | | | | | | (Instr. 4 and 5) | | | | | |
|-------------------------------------|---------|----------|----------|------|---|------------------|-----|--------------------------|-------------------------|-----------------------------|--|
| | | | | Code | V | (A) | (D) | Date Exer- cisable | Expira- tion Date | Title | Amount or Number of Shares |
| Nonqualified Stock Option (2) | \$30.37 | 11-20-02 | 11-20-02 | A | | 11,200 | | 11-20-05 | 11-20-08 | Common Stock, Class A | 11,200 |
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Explanation of Responses:

- (1) Purchased Restricted Stock ("PRS") awarded pursuant to The Neiman Marcus Group, Inc. 1997 Incentive Plan, which includes tax withholding rights, in a transaction exempt from Section 16(b) under Rule 16b-3. PRS is purchased at 50 percent of the fair market value on the date of the award and is subject to repurchase by the Company until the third anniversary date of the award in the event of the recipient's termination of employment.
- (2) Option granted pursuant to The Neiman Marcus Group, Inc. 1997 Incentive Plan, which includes tax withholding rights. Option vests on the third anniversary date of the grant and expires six years from the date of grant.

| | /s/ Ronald .L. Frasch | November 22, 2002 | | |
|--|---------------------------------|-------------------|--|--|
| ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. | **Signature of Reporting Person | Date | | |
| See | | | | |
| 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). | | | | |
| Note: File three copies of this Form, one | of which must be | | | |

manually signed. If space is insufficient,
see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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