Edgar Filing: McMenamin William V - Form 4

McMenami	n William V									
Form 4										
September 2										
FORM	$\mathbf{\Lambda} 4_{\text{UNITED}}$	STATES SE	CURITIES	AND FX	СНА	NGE C	OMMISSION		PROVAL	
	UNITED	STATES SE	Washington					OMB Number:	3235-0287	
Check this box							Expires:	January 31,		
if no lon subject t Section Form 4 o Form 5	SECU	ANGES IN BENEFICIAL OWN SECURITIES				Estimated a burden hour response	nours per			
obligatio may con <i>See</i> Instr 1(b).	ons Section 17(a	a) of the Publ		lding Co	mpan	y Act of	e Act of 1934, 1935 or Section 0	1		
(Print or Type	Responses)									
McMenamin William V Symbol NAV			8				5. Relationship of Reporting Person(s) to Issuer			
							(Check all applicable)			
			Date of Earliest Transaction				Director 10% Owner X Officer (give title Other (specify			
	R INTERNATION ATION, 2701 NAV	NAL 09/	21/2018				below) President F	below) in Serv & Trea	asurer	
(Street)			-				6. Individual or Joint/Group Filing(Check			
LISLE, IL	60532	File	d(Month/Day/Yea	ur)			Applicable Line) _X_ Form filed by C Form filed by M Person			
(City)	(State)	(Zip)	Table I - Non-	Derivative	e Secu		iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	09/21/2018		М	3,300	А	\$ 37.2	38,187	D		
Common Stock	09/21/2018		F	3,043	D	\$ 41.56	35,144	D		
Common Stock	09/21/2018		М	1,202	A	\$ 22.655	36,346	D		
Common	09/21/2018		F	747	D	\$ 41.56	35,599	D		

Stock

1

1,681

D

Premium Share Units (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number 6. Date Exercisation for Derivative Expiration Date Securities (Month/Day/Year Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 37.2	09/21/2018		М	3,300	(2)	12/19/2018	Common Stock	3,300
Employee Stock Option (right to buy)	\$ 22.655	09/21/2018		М	1,202	<u>(3)</u>	12/16/2018	Common Stock	1,202

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Funite / Funites	Director	10% Owner	Officer	Other		
McMenamin William V NAVISTAR INTERNATIONAL CORPORATION 2701 NAVISTAR DRIVE LISLE, IL 60532			President Fin Serv & Treasurer			

8 I S ()

Signatures

Richard E. Bond Attorney in fact

09/24/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The premium share units were acquired under Navistar's Executive Stock Ownership Program. Each premium share unit represents one share of Navistar common stock.
- (2) The option became exercisable as to 1,100 shares on 12/19/2012; as to 1,100 shares on 12/19/2013; and as to 1,100 shares on 12/19/2014.

The number of stock options reported is from an award of 4,422 options granted on 12/16/2008 that became exercisable as to 1,474 shares
(3) on 12/16/2009; as to 1,474 shares on 12/16/2010 and as to 1,474 shares on 12/16/2011. The option was exercised as to 100 of the shares on 9/14/2011 and as to 3,120 of the shares on 6/18/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.